# **6th Supplemental Agreement**

between

NETWORK RAIL INFRASTRUCTURE LIMITED as Network Rail

and

(1)

SERCO CALEDONIAN SLEEPERS LIMITED as Train Operator

relating to amendments to the Track Access Contract (Passenger Services) dated 05 March 2015 Contract Extension

### CONTENTS

1.	INTERPRETATION	2
2.	EFFECTIVE DATE AND TERM	2
3.	AMENDMENTS TO THE CONTRACT	3
4.	EFFECT OF THIS SUPPLEMENTAL AGREEMENT ON CONTRACT	
5.	LAW	3
6.	THIRD PARTY RIGHTS	3
7.	COUNTERPARTS	4

#### THIS 6TH SUPPLEMENTAL AGREEMENT is dated 23 February 2017 and made

#### BETWEEN:

- NETWORK RAIL INFRASTRUCTURE LIMITED, ("<u>Network Rail</u>"), a company registered in England under number 2904587 having its registered office at 1 Eversholt Street, London NW1 2DN; and
- (2) SERCO CALEDONIAN SLEEPERS LIMITED, (the "<u>Train Operator</u>"), a company registered in Scotland under number SC477821 having its registered office at 1-5 Union Street, Inverness, Scotland, IV1 1PP.

#### WHEREAS:

- (A) First ScotRail Limited and Network Rail Infrastructure Limited entered into a Track Access Contract (Passenger Services)(which is hereafter referred to as the "Contract") dated 5 March 2015 in a form approved by the Office of Rail and Road pursuant to section 18(7) of the Act, to which Serco Caledonian Sleepers Limited became a party under the transfer scheme.
- (B) The parties now propose to enter into this Supplemental Agreement in order to amend the Contract as described herein.

#### IT IS HEREBY AGREED as follows:

#### 1. INTERPRETATION

In this Supplemental Agreement:

- 1.1 Words and expressions defined in and rules of interpretation set out in the Contract shall have the same meaning and effect when used in this Supplemental Agreement except where the context requires otherwise;
- 1.2 "Effective Date" shall mean the date upon which the Office of Rail and Road issues its approval, pursuant to Section 22 of the Act, of the terms of this Supplemental Agreement.
- 1.3 "Appendix" shall mean the appendices and its relevant parts and attached hereto.

#### 2. EFFECTIVE DATE AND DURATION

The amendments made to the Contract pursuant to this Supplemental Agreement shall have effect from the Effective Date and shall cease to have effect on the Expiry Date or earlier termination of the Contract.

#### 3. AMENDMENTS TO THE CONTRACT

3.1 In Clause 1.1, the definition of "Expiry Date" shall be deleted in its entirety and replaced with the following:

"means the Principal Change Date in December 2018"

3.2 Annex B to Part 3 of Schedule 4 – Lookup Table for EBM Weights, shall be deleted and replaced with Appendix A below.

#### 4. EFFECT OF THIS SUPPLEMENTAL AGREEMENT ON THE CONTRACT

The Contract, as amended by this Supplemental Agreement, shall remain in full force and effect in accordance with its terms, and during the period in which the amendments made by this Supplemental Agreement are to have effect all references in the Contract to "the contract", "herein", "hereof", "hereunder" and other similar expressions shall, unless the context requires otherwise, be read and construed as a reference to the Contract as amended by this Supplemental Agreement.

#### 5. LAW

This Supplemental Agreement shall be governed by, construed and given effect to in all respects in accordance with the laws of Scotland.

#### 6. THIRD PARTY RIGHTS

No rights shall be conferred under or arising out of this Supplemental Agreement on any person other than the Parties to this Supplemental Agreement and there shall not be created in any circumstances a jus quaesitum tertio in favour of any person.

#### 7. COUNTERPARTS

This Supplemental Agreement may be executed in any number of counterparts, each of which when executed and delivered shall constitute an original, but all the counterparts shall together constitute one and the same instrument.

IN WITNESS WHEREOF this Supplemental Agreement comprising this, the foregoing 2 pages and Appendix A to this Supplemental Agreement, are executed as follows:-

SIGNED on behalf of NETWORK RAIL INFRASTRUCTURE LIMITED by DAVID DICKSON at GLIASGON on 23 FEBRUARY 2017 in the presence of:-Witness: NICHOLAS PRIAG Full Name: 157 ST VINCENT STREET Address: GLASGOW, G2 5NW SIGNED on behalf of SERCO CALEDONIAN SLEEPERS LIMITED by SIMPSON at GLASGON DNID on FEBRUARY in the presence of:-23 Witness:

Full Name: CHRIS LAWTON

Address: 20 DUNSTER RADD

SORLING FLEP SHU

## Appendix A

Viable Transfer Point (VTP)	Viable Transfer Point (VTP)	Applicabl e Infrastru	Other Operating Rules	S4CS	Description of	Comments	Service	% Appli	FU	LL Bus Repl	acement (10	0%)	P	ARTIAL Bu	Replacem	ent (50% x X	¥4)	No Bus Repla	EBM
		cture Rules		Code	Possession Response		Group	cable	From	То	Miles	Trains	From	То	Miles	Trains	% Trains	ceme nt (0%)	
							Fort William	Services											
Fort William (FTW)	Crianlarich (CNR)		-	ES002	Full bus replacement between Fort William (FTW) and Crianlarich (CNR)		ES01	0%	FTW	CNR	62.5						0%		£
Crianlarich (CNR)	Edinburgh Waverley (EDB)			ES002	No Bus		ES01	0%									0%		£
		-					triverness \$	iervices										1 = 2	
Inverness (INV)	Aviemore (AVM)			ES003	Full bus replacement between Aviemore (AVM) and Inverness (INV)		ES01	100%	AVM	INV	34.5						0%		£
Aviemore (AVM)	Perth (PTH)			ES004	Full bus replacement between Perth (PTH) and Aviemore (AVM)		ES01	100%	РТН	AVM	83.75						0%		E -
Perth (PTH)	Stirling (STG)			ES005	Full bus replacement between Stirling (STG) and Perth (PTH)		ES01	100%	STG	РТН	33						0%		£ -
Stirling (STG)	Edinburgh Waverley (EDB)			ES006	Full bus replacement between Stirling (STG) and Edinburgh Waverley (EBD)		ES01	100%	STG	EDB	35						0%		£ •
100							Aberdeen S	Services			1.5								
Aberdeen (ABD)	Dundee (DEE)			ES007	Full bus replacement between Aberdeen (ABD) and Dundee (DEE)		ES01	100%	ABD	DEE	71,25						0%		£
			Dunfermline Town route		Full bus replacement between Inverkeithing			100%	INK	KDY	12.75						0%		£
Kirkcaldy (KDY)	Dundee (DEE)	NOT available ESUUB (INK) and D	(INK) and Dundee (DEE) (via Kirkcaldy)	ndee (DEE)	ES01	100%	INK	KDY	12.75						0%		£		
		Durafa amilia a Tarum sauda	Partial bus replacement between Inverkeithing	tial bus replacement	ES01	0%		-			INK	KDY	12.75		100%		£		
			available ES009 (INK) and Dundee (DEE) (via Kirkcaldy)		ESUI	0%					KDY	DEE	33,25		100%		£		
Kirkcaldy (KDY)	Inverkeithing (INK)		Dunfermline Town route NOT available	ES010	Full bus replacement between Inverkeithing (INK) and Dundee (DEE) (via Kirkcaldy)		ES01	100%	INK KDY	KDY DEE	12.75 33,25								
1.00.1	(init)		Dunfermline Town route available	ES011	Partial bus replacement between Inverkeithing		ES01	0%					INK	KDY	12.75		100%		£

			(INK) and Dundee (DEE) (via Kirkcaldy)		0%				KDY	DEE	33.25	100%	£
Inverkeithing (INK)	Edinburgh Waverley (EDB)	ES012	Full bus replacement between Edinburgh Waverley (EDB) and Inverkeithing (INK)	ES01	100%	EDB	INK	13				0%	£ -
				Glasgow S	ervices							C. C.	
Glasgow Central (GLC)	Carstairs (CRS)	ES013	No Bus	ES01	0%							0%	£
				South of Ed	Sinburgh	1000	1			1 172			an plaster
Edinburgh Wavenley (EDB)	Carstairs (CRS)	ES014	Full bus replacement Carstairs (CRS) and Edinburgh Wavenley (EDB)	ES01	100%	CRS	EDB	28.75				0%	£
Carstairs (CRS)	Euston (EUS)	ES015	No Bus	ES01	0%							0%	£