AGENCY AGREEMENT BETWEEN THE SECRETARY OF STATE FOR TRANSPORT AND THE OFFICE OF RAIL REGULATION

This **AGREEMENT** is made between the Secretary of State for Transport ("the Secretary of State") and the Office of Rail Regulation ("ORR") under paragraph 7 of Schedule 3 to the Railways Act 2005. It relates to functions which ORR has agreed to perform on behalf of the Secretary of State, being functions which in the opinion of the Secretary of State can appropriately be performed by ORR in connection with its safety functions.

IT IS HEREBY AGREED that:

- 1. Subject to the provisions of this Agreement, the functions specified in the Schedule shall be performed on behalf of the Secretary of State by ORR.
- 2. ORR and the Secretary of State shall each provide the other with such information as they may at any time reasonably require in connection with the performance of the functions specified in the Schedule.
- 3. This Agreement shall come into effect on 20 October 2008 and shall terminate at a time agreed by both parties or on the expiry of 28 days following receipt of a written notice given by either party to this Agreement to the other.
- 4. Where requests and applications for the Secretary of State to exercise any of the functions specified in the Schedule have been received by the Secretary of State before 20 October 2008 the Secretary of State shall continue to exercise functions in relation to such requests and applications.

Chris Carr
Deputy Director
on behalf of the Secretary of State for Transport
Date: 2008

Signed by BUCMEY

Bill Emery

Signed by ...

Chief Executive of the Office of Rail Regulation

on behalf of the Office of Rail Regulation

Date O'COC 2008

SCHEDULE

FUNCTIONS OF THE SECRETARY OF STATE TO BE PERFORMED BY THE OFFICE OF RAIL REGULATION

- 1. The function under section 1 of the Level Crossings Act 1983 ("the 1983 Act") of making any order in relation to a level crossing, except in cases where:
 - a) the crossing operator has not requested an order or has requested an order in compliance with a notice given to him by ORR under the powers in section 1(6A) of the 1983 Act; or
 - b) a draft order raises issues relating to:
 - (i) the designation or otherwise of rights of way; or
 - (ii) the safety or convenience of road users other than the users of the level crossing; or
 - c) ORR and the Secretary of State agree that the interests of those affected by an order would be best served by the Secretary of State making the order.
- 2. The function under section 42 of the Road and Rail Traffic Act 1933 of giving of any direction in relation to a level crossing.
- 3. The function under section 64(2) of the Road Traffic Regulation Act 1984 of authorising the erection or retention of a traffic sign other than one specified in Regulations made under section 64(1) of that Act, (to the extent that such functions have not been devolved) provided that:
 - a) the sign is at or near a level crossing;
 - b) the road in question is a road to which the public have access; and
 - c) the sign is one which is prescribed (albeit for another purpose) in Regulations made under section 52 of the Transport and Works Act 1992.
- 4. Functions in any private Act authorising the construction of a transport system (where "transport system" is construed in accordance with regulation 2 of the Railways and Other Guided Transport Systems (Safety) Regulations 2006) with regard to the
 - a) approval of plans, sections and other details of proposals with respect to any works, plant or equipment;
 - b) giving of permission for the use of any part of such a system and the prescribing of conditions for the safety of persons using such a system.

- 5. The function under section 24 of the Channel Tunnel Act 1987 of approving
 - a) the description of trains used for conveying passengers or goods through the tunnel system; and
 - b) the specifications to which those trains are required to conform.
- 6. Functions under any order made under the Light Railways Act 1896 to approve, consent to or allow any matter or thing or to prescribe or lay down any condition or requirement (however expressed) in relation to the safety of a light railway.
- 7. Functions under any order made under the Transport and Works Act 1992 relating to, or to matters ancillary to, the safe operation of a transport system of a kind specified in section 1 of that Act including
 - a) approvals for the use of motive power on the railway or tramway;
 and
 - b) approvals in connection with barriers and protective equipment at or near level crossings.