

Mr Haydn Vaughan Fleet Engineer Beacon Rail Leasing Limited 4th Floor, 4 Matthew Parker Street London SW1H 9NP

Case Ref: **PRM-IOP-0362** EIN Number: **UK/51/2020/0031**

Date 11 June 2020

Russell Keir Rail Vehicles Engineer HM Inspector of Railways 46 Bath Street Glasgow G2 1HG

07 808 654 818 Russell.keir@orr.gov.uk

Dear Mr Haydn Vaughan,

THE RAILWAYS (INTEROPERABILITY) REGULATIONS 2011, AS AMENDED TRUK4123: BEACON RAIL LEASING CLASS 66 UPGRADE PROJECT

Continental class 66 locomotives conversion into UK class 66 locomotives, including GSM-R (v4)

Technical File reference numbers: TRUK/B 20/016-TF: 66790, 66791 and 66792 (Former -Swedish Locomotives)

I refer to your application for authorisation, received on the 22 May 2020.

Following review of your application, I can confirm that ORR grants a first authorisation under regulation 4(1)(a) of the Railways (Interoperability) Regulations 2011, as amended. This authorisation is for the placing in service of the following Locomotives: 66790, 66791, and 66792.

Any restrictions or limitations of use on the structural subsystem are those contained on the declaration of verification 'BRLL/DoC/6601 Issue 2 Rev Swedish' dated 01 June 2020 and contained in your technical file, reference:

Combined NoBo and DeBo File TRUK/B 20/016-TF Issue 1

Constraints & Restrictions

- The locomotives are authorised by the UK NSA for operation within GB only.
- Network Rail summary compatibility shall be issued in accordance with RIS-8270-RST (issue 1) prior to locomotives being put into use.

The rolling stock subsystem(s) authorised by this letter must be operated and maintained in accordance with Regulation 20.

You should be aware that any future modifications to the authorised subsystem may constitute a 'renewal' or an 'upgrade' as defined in Regulation 2. If a project entity, in relation to the project, considers that the modification meets either of these definitions they may apply, in accordance with the provisions of Regulation 13, to the Department for Transport (DfT) for a decision on whether a new authorisation will be required. Should DfT decide that an authorisation is not required they must consult with ORR whether authorisation is required on safety grounds.

As the project entity you are responsible for retaining the technical file, keeping it up to date and making it available to the ORR in accordance with Regulations 18 and 19.

If you are not the owner of the authorised subsystem you shall within 60 days, in accordance with Regulation 19(3), transfer the technical file, certificate of verification and verification declaration to the owner of the subsystem and the owner shall then be regarded as the project entity. If the owner, in accordance with Regulation 19(4), disposes of his interest in the authorised subsystem, he shall within 60 days of the disposal transfer the technical file, certificate of verification and verification declaration to the person acquiring that interest and that person shall be regarded as the project entity.

Please note that under Regulation 36, the person who applied for the authorisation shall send particulars to the Registration Entity to enable the registration entity to enter the information on the National Vehicle Register. This will include such further information as the registration entity may reasonably require set out in the relevant standard.

The person who applied for the authorisation to place in service will be issued with a determination of type in accordance with Commission Implementing Decision 2011/665/EC. The person who applied for the authorisation to place in service will receive the type authorisation after providing the data to the Registration Entity in accordance with Annex II of Commission Implementing Decision 2011/665/EC.

If you are the operator, may I remind you of the need to have adequate arrangements within your Safety Management System to control the risks associated with this rolling stock subsystem(s).

Yours sincerely

Steve Fletcher Deputy Director, Engineering & Asset Management

Cc

Ian Jones Head of Interoperability, Safety and Standards, DfT

NVR National Vehicle Register, NR

Patrick Talbot Principal Inspector of Railway, Freight Operations

David Galloway Head of System Compatibility, Network Rail

Peter Gracey Head of Interoperability and Rail Vehicle Engineering, ORR