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28 June 2024

Dear Emyl,

Network Rail Representations for the proposed 28th Supplemental Agreement to the Track Access Contract between Network Rail Infrastructure Limited and Grand Central Railway Company Limited dated 01 August 2014.

As directed in your letter dated 30 May 2024, Network Rail is making its representations in respect of a Section 22A of the Railways Act 1993 for the 28th Supplemental Agreement (SA) of the Track Access Contract (TAC) dated 01 August 2014 between Network Rail Infrastructure Limited (Network Rail) and Grand Central Railway Company Limited.

In line with ORR's letter of 24 April 2024 to the industry on 'Competing and/or complex track access applications for December 2024, May 2025 and December 2025 timetable changes', Grand Central Railway Company Limited submitted this application to the ORR on 20 May 2024 as a Section 22A.

Your letter made clear to industry that "We expect applications received by 20 May 2024 to be of sufficient quality, completeness, and certainty to enable Network Rail, industry and ORR to assess them. A prerequisite for achieving this is that applicants will have discussed their plans with, and sourced information from Network Rail before submission (in line with our guidance)."

It further "...requested that Network Rail produces a high-level plan by 05 June 2024 for ORR and industry. This will set out when it will produce its robust assessment for the applications submitted by 20 May 2024."

Network Rail fulfilled this request on the 05 June 2024 outlining the plan for undertaking capacity and performance assessments to inform both Network Rail and the ORR on the applications received.

That response should be considered alongside this Representation.

In particular, it should be noted as indicated therein that:

- due to the unprecedented scale of 83 unsupported applications received at one point in time, Capacity Planning plan to phase its analysis,
- assessments will initially focus on applications and geography which do not overlap with the ongoing ECML ESG Development work to mitigate the risk of redundant analysis,
- all ECML ESG related applications will be considered following decisions as to the implementation of the ESG Timetable,

As we set out in the high-level plan; “Only those rights which potentially interact, contain the required level of information to inform assessments, were discussed with Network Rail and are intended for the next three timetable changes will be considered by Network Rail.” and that “Applications consisting of rights for geography not impacted or for later timetable changes should be considered separately.”

These factors have shaped the anticipated plan for submission of evidence related to this and other applications.

Whilst Network Rail was made aware by Grand Central Railway Company Limited that they would be applying for the proposed access rights as requested in ORR’s letter, Network Rail has not yet had an opportunity to fully consider its position on this application and whether it can eventually support the proposed access rights.

ORR, in its invitation to Network Rail to make written representations, expects that Network Rail will:

- include all of the necessary information for ORR to take an informed decision; or
- to refer to all of the necessary analysis and the confirmed timelines Network Rail requires to reach its decision on the application. This should include the “5 June 2024 capacity analysis” and any other analysis Network Rail needs to complete;
- recognise which other applications within the published list of “20 May 2024 applications” could interact with each application.
- Confirm any interested persons name(s) or confirm there are no interested persons.

Network Rail can confirm that we will not be able to in this representation provide all the necessary information for ORR to make an informed decision at this point in time. However, in this representation we will address each of the points above as well as provide:

- provide an initial view of the application Form P and SA;
- where applicable, highlight if Network Rail believes that some of the access rights sought are outside the scope of the ORR’s letter dated 24 April 2024;
- an indication of how Network Rail will be able to provide its final representations and any intermediate representations so that ORR will then have all relevant information from Network Rail to assist ORR in making an informed decision.

Interested Person(s)

Network Rail is not aware of any persons who would fall within the definition of “Interested Person” in paragraph 1 of Schedule 4 of the Railways Act 1993 in relation to the application made by Grand Central Railway Company Limited.

Keeping you informed

As stated in the letter to the ORR on the 05 June 2024 “The plan will need to be flexible and reviewed through the process to consider industry decisions in relation to the ECML ESG along with potentially

other changing industry priorities and requirements. For example, recommendations from the East Coast Task Force may impact on industry resources, in particular timetabling resource. This could require changes to the remaining stages of the plan, including impacting when analysis can be completed.”

In the letter we also stated “We propose establishing a change control mechanism to track changes in the plan, when and where required, to retain transparency and clarity. This may also lead to consequential changes to anticipated dates of any resulting representations planned by our customer teams.” Where such changes in timeline occur, we will notify you of any impacts on expected content, volume or timing of forthcoming information.

Where there are capacity or performance aspects of this application that will not be addressed through the high-level plan (due to lack of necessary information as indicated by ORR, or due to the focused areas of analysis being provided by the high-level plan) we will discuss below how we propose to provide the necessary information that will be required by ORR to take a decision.

Summary of Rights Sought

In their application and as a high-level summary Grand Central Railway Company Limited are seeking the following additional access rights to commence from the May 2025 Timetable:

- Two return services (one on Sundays) between Bradford and London.
- One late evening service London to Wakefield Kirkgate.
- One service in each direction between Wakefield and Bradford.
- Conversion of one existing Empty Coaching Stock (ECS) path a day in each direction to a passenger service between Wakefield and Bradford.
- As part of the North East service group, one daily service in each direction between York and London.
- Up to four North East services a day in each direction to call additionally at Seaham, and for some additional Peterborough calls in each of their two service groups.

Industry Consultation for this application commenced on 22 May 2024, and concluded on 22 June 2024. Hull Trains requested an extension to 28 June 2024 which was agreed.

The high-level plan and dependencies

The high-level plan submitted on 05 June 2024, and our additional engagement with Capacity Planning indicates that the following relevant outputs for this application will be generated as follows:

- Phase 1: Collation and scoping
- Phase 2: Risk identification May 2025
- Phase 3: May 2025 Production development period
- Phase 4a: (ECML confirmed for December 2025)
- Phase 4b: (ECML confirmed for May 2025)
- Phase 5: December 2025 Production period

This along with the interacting applications matrix in Annex B, should support Network Rail in considering the application and interacting location dependencies.

We are mindful that the High-Level Plan and its analysis, or other issues indicated, may identify dependencies, risks or changes in risk profiles that could require revision or further review of individual responses. We also note the 05 June 2024 plan does not guarantee that analysis will not have to continue beyond the timetable development process. Where this is the case we will communicate with the Operator and ORR.

As stated later in this letter, if interactions occur at different points in time, i.e. different Timetable Change Dates then this could have an impact on when that Network Rail will be able to submit its position with regards to the application.

Common route / regional identified considerations / constraints

This request for rights covers a point in the network where it has been identified at a regional level that more than one application should be considered by ORR at the same time as any one decision would restrict the ability to accommodate other requests due to limited capacity remaining after the planned delivery of additional firm rights previously directed on the ECML. The other affected operators' applications are specified in the matrix set out in Annex B.

Network Rail Review of Form P and associated documents

Network Rail has performed an initial assessment of the Form P and associated documents submitted with this application.

Network Rail would like to highlight the following:

- Within the SA:
 - o Network Rail cannot currently support the additional rights as described in Table 2.1 and Table 4.1, because they would potentially interact with other known applications and so will need to be considered as part of the high-level plan. Grand Central at present does not call at Seaham and so Network Rail would need to understand the operational risk assessment for such calls.
 - o Table 2.1 Timing load column: Network Rail welcomes the update to the timing load proposed in Table 2.1 to reflect the use of Class 180 rolling stock.
 - o Table 2.1 passenger train slot rows: Network Rail notes that existing train service codes (TSC) are used for the proposed services but new TSCs may be required for what are new start and end points.

- Within the Form P:
 - o Section 1.5: Network Rail cannot currently support the additional rights as described. Grand Central at present does not call at Seaham and so Network Rail would need to understand the operational risk assessment for such calls.
 - o Section 1.8: We note the inclusion of draft timings but Network Rail requires more detailed timings in order to assess them as part of the high-level plan for the interacting rights applications. Network Rail Capacity Planning reached out to Grand Central on this and on 21 June 2024 Grand Central sent through more detailed timings (including F3 prints and a PIF file) for the proposed services. Network Rail notes that one service is proposed as a 10-car from York that splits at King's Cross and Network Rail will need to undertake the necessary platform work as part of its analysis. Network Rail would like confirmation of the depot arrangements and the feasibility of having an additional 10-car unit at the relevant depot.
 - o Section 3.1: Network Rail notes that the proposed services are based on the ECML ESG timetable as a base and so is dependent on the implementation of the ECML ESG.
 - o Sections 4.2 and 4.3 (Capacity and Performance): Network Rail notes that Grand Central have identified additional paths within the ESG timetable and that the proposed services in this application were not included in the ESG timetable performance modelling. Network Rail would require further detailed work to demonstrate these additional services have no detriment on the ESG timetable performance modelling. Network Rail notes that the proposal would mean one sub-

half hour turnaround at Bradford Interchange during the day and would wish to understand the mitigations to remove performance risk.

- Caledonian Sleeper sometimes divert into King's Cross during engineering blockades so Network Rail will consider this as part of its analysis to make sure it does not impact their ability to do so.
- In line with CP7 freight growth targets, Network Rail is committed to securing capacity in the timetable for future use. Where capacity and suitable capability can be identified, Network Rail will consider applications for the Sale of Access Rights.

Whilst upon review of the application, the access rights sought are in line with ORR's letter of the 24 April 2024, however not enough information was supplied by the Operator to include in the capacity / performance assessment (as requested by Capacity Planning's letter to the Operators dated 08 May 2024). Network Rail has approached the operator for this information twice (on 22 May 2024 and 10 June 2024) and fortunately the Operator was able to provide the information on 21 June 2024 to Capacity Planning. As the requested information was provided after the identified date, these aspirations will not be included in phases 1 and 2. It may be possible to assess this from phase 3, but that will be dependent on any factors which may impact the plan as indicated in the 05 June 2024 letter to ORR.

Whilst we have in phase 1 of the 05 June 2024 high-level plan identified where applications could interact at the previously identified locations with another Operators application, we will through Phase 2 for December 2024 and May 2025 applications identify those access rights sought which are not at an interacting location. If Network Rail does identify access rights (or part of the access rights) which are not at an interacting location, then Network Rail will consider the proposed rights as per business as usual and will engage with the operator and ORR on this.

Network Rail's Initial Position on the Access Rights Sought prior to necessary assessments being completed in line with the plan

Network Rail has made every attempt in their initial assessment of the Application received to be able to inform the ORR of any early positions it might have on the access rights sought. In this instance it will not be possible in this representation for us to give an initial view, but we have outlined later in the letter the necessary assessments / analysis that need to be undertaken to inform a view.

Possible Interactions with Other Applications from 20 May 2024

As requested in ORR's invitation for Network Rail to make written representations to this application, Annex B to this letter shows where this application could interact with each of the "20 May 2024 Applications" at the previously identified locations.

Please note if the interactions occur at different points in time, i.e. different Timetable Change Dates, then this will have an impact on the dates that Network Rail will be able to submit its position with regards to the application. I.e. if the application submitted for Operator A is to commence December 2024 and there are no concerns or conflicts that does not mean that when Operator B's aspirations are considered which start in May 2025 that both will be able to be accommodated and therefore Network Rail will have to see the outputs of the capacity assessments for the May 2025 Timetable for Operator B before they can give a final position on Operator A's application.

ECML Access Rights

As the ORR is aware the members of the Industry PMO agreed in March 2024 that the ECML ESG Timetable which was planned for December 2024, would be deferred pending further development

work. Considering this, we are reviewing the requirement for continuation of our previous approach to access rights on the ECML. We expect to be able to communicate further with the industry and the ORR in the near future of our position on this.

In addition, any new or amended rights (which change capacity parameters) whether contingent or firm which are being sought in those 20 May Applications on the ECML, as stated previously and in line with the 05 June 2024 letter from Network Rail to the ORR, Network Rail will not be able to make decisions on this until decisions on ECML are made following consideration by the industry ECML Task Force. It is recognised for those operators who have bid for paths on the ECML for the December 2024 Timetable and they have been offered back to the Operator at D-26 Network Rail will have to consider the support of access rights in the interim only.

This application seeks new rights in the case of the ECML ESG being implemented in May 2025 or December 2025. Network Rail will only be in a position to assess this application post a decision on ECML ESG implementation and ECML Taskforce recommendations as to what Timetable the ECML ESG will be implemented.

Allowing business continuity while preserving freedom of action for ORR in taking its decision

Our letter of 05 June 2024 stated that in this interim period Network Rail will have to balance the need for business continuity, so that current services may continue to operate and timetable changes can be made when there is low risk of negative outcomes for passengers and freight users, with the need to avoid prejudicial decisions that could unduly favour one party where aspirations interact.

We note that some operators have split their applications across different timetable periods, which already provides ORR with the ability to deal specifically with the nearer-term applications now, whereas others have combined different timetable periods in a single application.

Should ORR wish to make a single decision on each application it has received, without breaking that application into parts, then, where a single application has been made relating to multiple timetable periods, there is a risk that rights might not be available in time for an operator to commence services as early as it would like, given the need for all of the information set out in our plans to be available in order for decisions to be made relating to those future timetables.

Where paths associated with some of the quantum rights in this application are included in a New Working Timetable issued under the Network Code Part D process, then Network Rail proposes to work with the applicant on these elements of the application. Our aim, subject to normal governance processes, would be to potentially agree a new Section 22 application for contingent, time-limited rights for that timetable period with no presumption of continuity – in line with the approach that ORR has supported for applications on the East Coast Main Line in recent years.

This would enable you to reserve your position on future capacity choices, allowing decisions that relate to a later timetable period to be made simultaneously, while making effective use of the railway network in the earlier timetable periods.

Conclusion

Network Rail is aware that this representation letter does not contain all the information needed for this application for the ORR to make a decision.

As explained in our letter of 05 June 2024, these representations letters were mostly expected to reserve our position pending the outcome of the capacity and performance assessments. We are also mindful that the plan and its analysis may identify dependencies, risks or changes in risk profiles that

could require revision or further review of this response. However, we hope that the plans we provided give you the reassurance that we will assess this application to inform Network Rail's position in a later representation in line with the plan and letter. In addition, Network Rail wants to give the ORR the confidence that we have made every effort in this letter to give any initial views where we can.

Network Rail asks that while we carry out our assessments, ORR progresses with its own assessments wherever possible to assist in making early decisions/ descoping the scale of applications requiring assessment from Network Rail. Additionally, where we have deemed an application for December 2024 or May 2025 to be unready in the initial representations in this letter, we ask that this be descoped from the analysis requirements as quickly as possible.

Network Rail will continue to keep ORR sighted on the progress of the plan for this application.

Yours sincerely,

Mark Garner
Customer Manager,
Eastern Region, Network Rail

Annex A – Plan for Information / Analysis / Assessment

Details of Information / Analysis / Assessment	NOTES
<i>High Level Phases from 5th June Plan</i>	
Phase 1 Collation & Scoping	Please refer to our letter of June 5th
Phase 2 Risk Identification for May 2025	Please refer to our letter of June 5th
Phase 3 May 2025 Production Development Period	Please refer to our letter of June 5th
Phase 4a - (ECML confirmed for December 2025) – focusing on December 2025	Please refer to our letter of June 5th
Phase 4b - (ECML confirmed for May 2025) – focusing on December 2025	Please refer to our letter of June 5th
Phase 5 – December 2025 Production Period	Please refer to our letter of June 5th
<i>Further Route / Function Analysis / Assessments / Information</i>	

Annex B – Interacting Applications Matrix

Operator/Application/Type				A - WCML south	B - Birmingham	C - BHM-Derby	D - Derby-Sheffield	E - Sheffield	F - ECML&Leeds	G - Oxford	H - Gloucester	I - Cardiff
	Dec-24	May-25	Dec-25									
Colas 10th SA 22a	X	X	X			X	X	X	X			X
DBC 72nd SA 22a	X	X	X				X	X	X			
DBC 73rd SA 22a	X	X	X					X	X			
DBC 79th SA 22a	X	X	X			X			X	X		X
DBC 81st SA 22a	X	X	X		X	X	X	X	X	X	X	X
DBC 86th SA 22a	X	X	X					X	X			
DBC 87th SA 22a	X	X	X		X	X	X	X	X		X	X
DBC 88th SA 22a	X	X	X				X	X	X			
DBC 70th SA 22	X	X	X		X	X	X	X	X	X		
GBRf 34th SA 22a	X	X	X	X	X	X	X		X	X	X	
GBRf 25th SA 22a	X	X	X	X	X	X	X	X	X	X		
GBRf 28th SA 22	X	X	X	X					X			
GBRf 41st SA 22A	X	X	X						X			
Varamis 2nd SA 22a	X	X	X	X	X				X			
CrossCountry UC, Hydra, Stansted 17	X	X	X		X	X	X	X	X	X	X	X
FLIM 25th SA 22A	X	X	X	X	X	X		X	X	X		
DRS 17th SA 22A	X	X	X	X	X	X	X	X	X		X	X
EMR 21st SA 22A	X	X	X				X	X	X			
FLHH 25th SA 22A	X	X	X	X	X	X	X	X	X	X	X	
FLHH 26th SA 22A	X	X	X				X	X	X			
FLHH 27th SA 22A	X	X	X		X	X	X	X	X	X	X	X
FLHH 28th SA 22A	X	X	X	X	X	X	X	X	X		X	X
FLIM 24th SA 22A	X	X	X	X	X	X			X			
FLIM 26th SA 22A	X	X	X	X			X	X	X	X		
Govia Thames Railway 62nd SA 22A	X	X	X						X			
Grand Central 24th SA 22A	X	X	X						X			
Hull Trains 28th SA 22A	X	X	X						X			
Hull Trains 29th SA 22A	X	X	X						X			
LNER 37th SA 22A	X	X	X						X			
LNER 38th SA 22A	X	X	X						X			
Lumo 11th SA 22A	X	X	X						X			
Northern 57th SA 22	X	X	X					X	X			
Scotrail 49th SA 22a	X	X	X						X			
TPT 58th SA 22a	X	X	X					X	X			

Govia Thames Railway 63rd SA 22A			X	X						X			
Grand Central 28th SA 22A			X	X						X			
Hull Trains 27th SA 22A			X	X					X	X			
LNER 34th SA 22A			X	X						X			
LNER 36th SA 22A			X	X						X			
Lumo 12th SA 22A			X	X						X			
Northern 59th SA 22a			X	X					X	X			
Northern 60th SA 22a			X	X					X	X			
Scotrail 50th SA 22a			X	X						X			
TPT 62nd SA 22a			X	X						X			
TPT 63rd SA 22a			X	X						X			
Alliance Rail Cardiff - Edinburgh 17				X		X	X	X	X	X		X	X
EMR 19th SA 22A				X						X			
LIS 2nd SA 22a				X						X			
Scotrail 51st SA 22a				X						X			
TPT 64th SA 22a				X					X	X			
TPT 65th SA 22a				X						X			
LNER 35th SA 22A May '28										X			

Annex C – Eastern Region high-level plan extract

Final list of applications Dec 2024 - 2025 timetable change				Where applicable to the application													
Application	Section	Details	Timetable change	Lead route	Train length, weight, routing and rolling stock confirmed in a	High level plan phases potentially relevant	Power modelling required	Level Crossing assessment required	Signaller workload assessment required	Platform/train interface risk assessment required	Compatibility / Route Clearance required	Vehicle Change required	Route opening / EAS (section 4) check required	Track maintenance impact	ETCS fitment required for ECDP	Vegetation clearance risk re bi-modes	Depot and stabling requirements
Grand Central 28th	22A	SCD 25 - additional daily services to Bradford, Wakefield and York. Additional calls at Seaham and Peterborough.	May-25	Eastern	Yes	Phase 1 - 5	Under review	Under review	Under review	Under review	Under review	Under review	Under review	Under review	Under review	Under review	Under review

Eastern Region has reviewed this application through its initial assurance sessions and is taking forward the relevant actions that will be tracked through the Regional High-Level Plan.

Eastern will be utilising all relevant intelligence gathered as part of the ECML ESG workstream to assist with its ongoing assessment and assurance activities.

Where an application has any additional rolling stock requirements, these will need to be fully assessed as part of the Eastern Region power modelling workstream and align with cross-route power modelling in other Network Rail regions.

As previously highlighted through this representations letter and the 05 June 2024 letter to the ORR, we may as we progress, identify dependencies, risks or changes that could result in a change to the plans or activities identified.