



Department for
Business, Energy
& Industrial Strategy

BUSINESS IMPACT TARGET: SUMMARY TEMPLATE

Non-qualifying Regulatory Provisions
(NQRPs) summary reporting template

Office of Rail and Road

Business Impact Target Reporting Period Covered: 17-12-2021 to 16-12-2022

Excluded Category*	Summary of measure(s), including any impact data where available**
Measures certified as being below <i>de minimis</i> (measures with an EANDCB below +/- £5 million)	<ul style="list-style-type: none"> • Guidance on Environmental Arrangements for Railway Licence Holders • Guidance on the level crossing orders process and updated level crossing order templates • Updated guidance to support The Train Driving Licences and Certificates Regulations 2010 • Criteria and procedures for the approval of depot access agreements • Licensing guidance: How to apply for operator licences and licence exemptions
EU Regulations, Decisions and Directives and other international obligations, including the implementation of the EU Withdrawal Bill and EU Withdrawal Agreement	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Measures certified as concerning EU Withdrawal Bill operability measures	Update to Railways and Other Transport Systems (ROGS) guidance to reflect legislative changes.
Pro-competition	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Systemic Financial Risk	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Civil Emergencies	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Fines and Penalties	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Misuse of Drugs	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.

Excluded Category*	Summary of measure(s), including any impact data where available**
Measures certified as relating to the safety of tenants, residents and occupants in response to the Grenfell tragedy	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Casework	<ol style="list-style-type: none"> 1. Licensing operators of railway assets: <ul style="list-style-type: none"> • we issued licences to 9 operators; • we granted licence exemptions to 5 operators; and • we revoked 4 licences/exemptions. 2. Freight Track Access Contracts - 61 cases total = 22 Specific Approvals (cases where we review and approve) + 39 General Approval (cases approved between parties) <ul style="list-style-type: none"> • Specific Approvals: 4 x Connection Contracts, 6 Supplemental Agreements, 7 Consents, 5 Facility Access Contracts (S18) • General Approvals: 1 x New TAC, 26 x Connection Contracts, 7 Supplemental Agreements, 5 Facility Access Contracts (S18) 3. Passenger Track Access Contracts – 101 cases in total <ul style="list-style-type: none"> • 70 Specific Approvals (4 x Section 18 new contracts, 65 x Section 22 agreed amendments to the contract reviewed by ORR for validity, 1 x Section 22A amendment initially not agreed between the parties that ORR have provided directions on) • 2 consents • 29 General Approvals to amendments of track access contracts 4. Stations & Depots Access Contracts - 349 cases in total <ul style="list-style-type: none"> • Specific Approvals (11 x new agreements; 165 x amendments) • General Approvals (31 x new agreements; 142 x amendments) 5. ROGS Safety Certificates and Safety Authorisations: <ul style="list-style-type: none"> • 6 Mainline Safety Authorisations • 43 Mainline Safety Certificates (21 Part A's & 22 Part B's) • 5 Non-Mainline Safety Authorisations • 2 Non-Mainline Safety Certificates

Excluded Category*	Summary of measure(s), including any impact data where available**
	<p>6. ROGS Regulation 30(1) exemptions: - 2 exemptions issued. These were to heritage railways to exempt them from the requirements of holding a non-mainline safety certificate and safety authorisation to carry out short term testing of railway vehicles at speeds greater than 25 miles per hour on their managed infrastructure.</p> <p>7. Level Crossing Orders, Directions and Traffic Signs Authorisations</p> <ul style="list-style-type: none"> • 21 Level Crossing Orders made • 3 Directions made • 2 Traffic Sign Authorisations made <p>8. Licences issued under the Train Driving Licences and Certificates Regulations 2010 (up to 15/11/22)</p> <ul style="list-style-type: none"> • 1073 new GB train driving licences issued to domestic only drivers • 253 new GB train driving licences issued to cross border drivers • 51 GB train driving licences re-issued
Education, communications and promotion	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Activity related to policy development	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Changes to management of regulator	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.

* For detailed guidance on the exclusion categories, please see <https://www.gov.uk/government/publications/better-regulation-framework>