

ORR RM3 Strategy

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ORR retains ownership of the Risk Management Maturity Model (RM3) and subordinate documents (e.g., topic sets). To deliver ORR's objectives for RM3, ORR will:

a) Preserve and strengthen the robustness of the governance of RM3 to provide clarity, direction, and confidence in the future continuity of the model

The ORR chaired RM3 Governance Board (RM3GB) supports ORR in ensuring that RM3 remains relevant to industry. The RM3GB's Terms of Reference describe the Board's purpose as facilitating guidance and collaboration between ORR and the rail industry to drive continuous improvement in effective health and safety management maturity.

b) Monitor and evaluate the effectiveness of the model using internal and external sources to ensure continuous development, improvement of the model and enhanced user confidence

By analysing the output from RM3 such as assessments and user feedback, it will provide intelligence on what further development and next steps are needed to the model, ensuring the model is current and supports the needs of the industry. Bi-annual review internal.

c) Define and communicate annual objectives, with measurements and timescales on agreed strategic initiatives

The setting of SMART objectives will provide focus, direction, set expectations, and form a key working pillar of the RM3GB who are required to deliver within set timescales.

d) Enhance the internal process for managing changes to RM3; including the development of topic specific subsets (where appropriate) to compliment RM3 2019

To implement and communicate an agreed framework of how suggested enhancements and improvements to the model are put forward to ensure robust control and effectiveness whilst maintaining quality.

e) Promote, share, and collaborate within the rail sector and other industries on the benefits of measuring the effectiveness of a health and safety management system, and the impact and benefits that RM3 has delivered to the rail industry

An opportunity to share our learnings as a regulator and promote best practice with other industries on how RM3 can be used to improve management system maturity.

f) Standardise and optimise ORR's application of RM3 with duty holders, ensuring fairness and consistency, whilst supporting ORR's future strategic planning

Improving the quality and clarity whilst reducing the risk of differing interpretation. It will provide opportunities for innovation to safeguard consistency and fairness.

g) Develop resources for improving the process of conducting and recording the output of RM3 assessments

To explore the opportunity for technological enhancements and integration of the model. The aim is to reduce work process duplication and improve quality of information obtained during inspections, in real time.

h) Intensify user engagement and communication within ORR, building user confidence in the effective use of RM3 during interventions

To strengthen the understanding of the model which will provide consistency on the effective use of RM3. This will improve user confidence, improve two-way communication and feedback leading to future continuous development of RM3.



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