

## Railway Safety Regulations 1999: Regulation 5

### Certificate of Exemption

1. The Office of Rail Regulation (ORR) exempts under regulation 6(1) of the Railway Safety Regulations 1999 (the Regulations) the hinged door rolling stock listed in the Schedule from the requirements of regulation 5(1) of the Regulations. In granting this exemption, ORR has carried out a review of the evidence provided by **Riviera Trains Limited (Company Number: 03029396)** (the company) in support of the exemption request, consulted as required by regulation 6(2) and had regard to the matters set out in regulation 6(3) of the Regulations.
2. In this exemption:
  - “railway” has the same meaning as in the Regulations;
  - “Joint TOC document” means the document entitled ‘Charter Train Managers Operation of Coaching Stock fitted with Secondary Door Locking’ prepared by DB Schenker Rail (UK) Limited and West Coast Railway Company Limited;
  - “rolling stock” means any carriage, wagon or other vehicle used on track and includes a locomotive;
  - “safety management system” has the meaning it has in the Railways and Other Guided Transport Systems (Safety) Regulations 2006 (ROGS).
3. This exemption applies to the rolling stock with hinged doors for passenger access listed in Schedule (‘the rolling stock’) and is granted subject to the following conditions:
  - (a) The company must ensure that hinged doors are modified so that:
    - (i) they can be securely locked and clearly labelled as not for passenger use, apart from the necessary access doors at the end of each carriage;
    - (ii) they are fitted with secondary locks, equivalent to those specified in the Joint TOC document (and any revisions to it that ORR consents to), which are protected by an anti-tamper device and labelled as being for emergency use only;
    - (iii) all access doors are fitted with secondary door locks that can only be operated by a steward of the company, unless in an emergency.
  - (b) the rolling stock is not used for passenger services on a railway unless:
    - (i) the operator of the rolling stock has and maintains an established safety management system which covers the operation of hinged door rolling stock;

- (ii) competent and adequately trained stewards are provided and are responsible for monitoring doors and passenger behaviour; and for the operation of secondary door locks at all times when passengers are boarding, on-board and alighting the train; any one steward shall be responsible for no more than the doors of any one vehicle or those doors in adjacent vehicles immediately either side of a gangway;
- (iii) passenger announcements and briefing notices are used as appropriate to explain to passengers the door arrangements set out paragraph 3(a).

4. This exemption is valid from 30 March 2012 until 31 March 2023.

5. Under regulation 6(1) of the Regulations, ORR may revoke the whole or any part of this exemption, at any time, in writing.

*C Wake*

Signed by authority of ORR

**30 March 2012**





## Schedule

The rolling stock vehicles to which this exemption applies are:

Vehicle Number	Type	Date Built
1651	Restaurant Buffet (RBR)	1960
1657	Restaurant Buffet (RBR)	1960
1671	Restaurant Buffet (RBR)	1960
1683	Restaurant Buffet (RBR)	1961
1691	Restaurant Buffet (RBR)	1961
1692	Restaurant Buffet (RBR)	1961
1699	Restaurant Buffet (RBR)	1961
1813	Miniature Buffet (RMB)	1960
1832	Miniature Buffet (RMB)	1960
1842	Miniature Buffet (RMB)	1960
1863	Miniature Buffet (RMB)	1962
2834	Brake Staff Coach (AU5)	1964
3066	First Class Open (FO)	1955
3068	First Class Open (FO)	1955
3069	First Class Open (FO)	1955
3097	First Class Open (FO)	1959
3098	First Class Open (FO)	1959
3100	First Class Open (FO)	1959
3107	First Class Open (FO)	1962
3110	First Class Open (FO)	1962
3112	First Class Open (FO)	1962
3114	First Class Open (FO)	1962
3119	First Class Open (FO)	1963
3120	First Class Open (FO)	1963
3121	First Class Open (FO)	1963
3122	First Class Open (FO)	1963
3123	First Class Open (FO)	1963
3124	First Class Open (FO)	1963
3125	First Class Open (FO)	1963
3127	First Class Open (FO)	1963
3131	First Class Open (FO)	1963
3132	First Class Open (FO)	1963
3133	First Class Open (FO)	1963
3140	First Class Open (FO)	1963
3141	First Class Open (FO)	1963
3144	First Class Open (FO)	1963
3146	First Class Open (FO)	1963
3147	First Class Open (FO)	1963
3148	First Class Open (FO)	1963
3149	First Class Open (FO)	1963
3181	Mark 2d, Open First	1971
4902	Open Standard (TSO)	1961
4927	Open Standard (TSO)	1962



<b>Vehicle Number</b>	<b>Type</b>	<b>Date Built</b>
4946	Open Standard (TSO)	1962
4949	Open Standard (TSO)	1962
4959	Open Standard (TSO)	1962
4986	Open Standard (TSO)	1962
4991	Open Standard (TSO)	1962
4996	Open Standard (TSO)	1962
4998	Open Standard (TSO)	1962
5007	Open Standard (TSO)	1963
5008	Open Standard (TSO)	1963
5009	Open Standard (TSO)	1963
5023	Open Standard (TSO)	1963
5027	Open Standard (TSO)	1963
5040	Open Standard (TSO)	1963
5276	Mark2a, Open Standard	1967
5292	Mark2a, Open Standard	1967
5322	Mark2a, Open Standard	1967
5341	Mark2a Open Standard	1967
5350	Mark2a Open Standard	1968
5366	Mark2a Open standard	1968
6310	Brake Generator (GX51)	1958
13225	Corridor First (FK)	1962
13227	Corridor First (FK)	1962
17013	Brake Corridor First (BFK)	1961
17015	Brake Corridor First (BFK)	1961
17056	Mark2a, Corridor Brake First	1967
17077	Mark2a, Corridor Brake First	1967
17105	Mark2b, Couchette/Generator Car	1969
21224	Mark1, Corridor Brake Composite	1958
21245	Corridor Brake Composite (BCK)	1962
21249	Corridor Brake Composite (BCK)	1962
21268	Corridor Brake Composite (BCK)	1964
21269	Corridor Brake Composite (BCK)	1964
21272	Corridor Brake Composite (BCK)	1964
35317	Corridor Brake Standard (BSK)	1962
35333	Corridor Brake Standard (BSK)	1962
35451	Corridor Brake Standard (BSK)	1963
35461	Corridor Brake Standard (BSK)	1963
35465	Corridor Brake Standard (BSK)	1963
35467	Corridor Brake Standard (BSK)	1963
35469	Brake Generator (BSKG)	1963
80041	Kitchen Car (RK)	1961
80042	Kitchen Car (RK)	1961
92303	Gangway Brake Van (BG)	1958
92936	Gangway Brake Van (BG)	1958