

**THE OFFICE OF RAIL AND ROAD**  
**142<sup>nd</sup> BOARD MEETING**  
**15:00-17:30 MONDAY 11 DECEMBER 2017**  
**ONE KEMBLE STREET, LONDON WC2B 4AN**

**Non-executive members:** Stephen Glaister (Chair), Tracey Barlow, David Franks, Anne Heal, Bob Holland, Michael Luger, Justin McCracken, Graham Mather

**Executive members:** Joanna Whittington (Chief Executive), John Larkinson (Director Railway Markets and Economics), Ian Prosser (Director Railway Safety), Graham Richards (Director Railway Planning and Performance).

**In attendance:** Russell Grossman (Director Communications), Freya Guinness (Director Corporate Operations and Organisational Development), Juliet Lazarus (Director Legal Services and Competition), Tess Sanford (Board Secretary),

**Other ORR staff in attendance are shown in the text.**

**Item 1            WELCOME AND APOLOGIES FOR ABSENCE**

1.    The chair welcomed everyone to the meeting. He updated them on DfT's progress with chair recruitment.
2.    Dan Brown had sent his apologies.

**Item 2            DECLARATIONS OF INTEREST**

3.    There were no new declarations of interest in relation to the matters on the agenda.

**Item 3            APPROVAL OF PREVIOUS MINUTES AND MATTERS ARISING**

4.    The draft minutes had been circulated in advance of executive review and there were some corrections needed. The final draft would be circulated for approval with the January board papers.
5.    No matters arising were due for report at this meeting.

**Item 4:           HEADLINES**

6.    No regular monthly updates had been possible because of the short time between this meeting and November's.
7.    The board discussed the Secretary of State's recently published **rail strategy** and particularly the reforms around franchising which were included. References to areas that ORR regulates were high level.

**Item 5:           PR18**

**a) PR18 OVERALL FRAMEWORK**

*Emily Bulman and Lynn Armstrong joined the meeting for this item.*

8.    John Larkinson introduced the item and reminded the board of the last discussion on the subject. Following NRs' meeting with the ORR

## FOR PUBLICATION

Board, Joanna Whittington had written to them setting out what ORR would need in order to build its own regulatory framework around the NR central functions. This discussion continued

9. ORR had consulted on the PR18 overall framework and the team had now considered all the responses. The presentation set out the areas where thinking had progressed or been altered from our proposals in the consultation and particularly sought the board's comments on the proposed conclusions.

*The rest of this item (paras 10-21) to be redacted until after publication of the consultation response.*

## Item 6 ANY OTHER BUSINESS

### **Scotland**

22. John Larkinson briefed the board on the Scottish government's response to ORR's draft letter around their HLOS and likely next steps.

### **Sandilands**

23. The board discussed RAIB'S report on the multi fatality tram accident at Sandilands with particular attention to the comments and recommendations about ORR's approach to regulating the sector. They noted ORR's role in coordinating responses to recommendations that required action by the industry. The board noted their overall responsibility for the regulatory approach to trams. The board had previously agreed that HSRC would oversee the response to the RAIB report, seeking external input as appropriate and reporting on to the Board itself. This approach was confirmed but as the next meeting of the HSRC was not scheduled until March an earlier discussion would be arranged.
24. There was no other business and the meeting closed.