

Network Rail Infrastructure Limited 1 Eversholt Street London NW1 2DN

4 April 2018

Attn: The Company Secretary and Solicitor

21st supplemental agreement to the track access contract between Network Rail Infrastructure Limited and West Coast Trains Limited

Pursuant to section 22A of, and in accordance with paragraph 5 of Schedule 4 to, the Railways Act 1993, and having consulted you, Network Rail Infrastructure Limited and West Coast Trains Limited, as the persons who are stated in an application made by West Coast Trains Limited on 7 September 2017 to be the parties to the above proposed supplemental agreement, I direct you, Network Rail Infrastructure Limited, to enter into the supplemental agreement, in the form and content as described in the attached document, not later than Friday 4 May 2018.

You shall be released from the duty to enter into the supplemental agreement if West Coast Trains Limited fails to enter into the proposed supplemental agreement by Friday 4 May 2018.

A copy of these directions has been sent to West Coast Trains Limited.

Robert Plaskitt

Duly authorised by the Office of Rail and Road



Twenty First Supplemental Agreement

between

NETWORK RAIL INFRASTRUCTURE LIMITED

as Network Rail

and

WEST COAST TRAINS LIMITED

as Train Operator

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BETWEEN:

- (1) <u>NETWORK RAIL INFRASTRUCTURE LIMITED</u>, ("Network Rail"), a company registered in England under number 2904587 having its registered office at 1, Eversholt Street, London, NW1 2DN; and
- (2) WEST COAST TRAINS LIMITED, (the "Train Operator"), a company registered in England under number 3007940 having its registered office at West Coast Trains Limited, The Battleship Building, 179 Harrow Road, London W2 6NB

WHEREAS:

- (A) Network Rail and the Secretary of State for Transport entered into a Track Access Contract (Passenger Services) dated 1st September 2011 in a form approved pursuant to Section 17 of the Act, (which track access contract as subsequently amended is hereafter referred to as the "Contract").
- (B) The rights and obligations of the Secretary of State for Transport under the Contract were transferred to the West Coast Trains Limited by a Deed of Novation made on 26th October 2011.
- (C) The parties propose to enter into this Twenty First Supplemental Agreement in order to amend the Contract as described below.

IT IS HEREBY AGREED as follows:

1. INTERPRETATION

- 1.1 In this Supplemental Agreement:
 - (A) Words and expressions defined in and rules of interpretation set out in the Contract shall have the same meaning and effect when used in this Supplemental Agreement except where the context requires otherwise; and
 - (B) Effective Date means the later of:
 - (i) 02:00 on the Subsidiary Change Date 2018; and
 - (ii) the date on which the parties enter this Supplemental Agreement pursuant to directions under Section 22A of the Act.

2. EFFECTIVE DATE AND TERM

2.1 The amendments made to the Contract as set out in this Supplemental Agreement shall have effect from the Effective Date and shall cease to have effect on the Expiry Date or earlier termination of the Contract.

3. AMENDMENTS TO SCHEDULE 5 AND SCHEDULE 7

- 3.1 In Schedule 5, Table 2.1: Passenger Slots, the entry for Service Group 6 shall be deleted and replaced with the version as set out in Annex A to this Supplemental Agreement.
- 3.2 In Schedule 5, Table 2.2: Additional Passenger Train Slots shall be deleted and replaced with the version as set out in Annex B to this Supplemental Agreement.
- 3.3 In Schedule 5, Table 4.1: Calling Patterns, the entry for Service Group 6 shall be deleted and replaced with the version as set out in Annex C to this Supplemental Agreement.
- 3.4 In Schedule 7, APPENDIX 7C Default Train Consist Data shall be deleted and replaced with the version as set out in Annex D to this Supplemental Agreement.

4. EFFECT OF THIS SUPPLEMENTAL AGREEMENT ON THE CONTRACT

The Contract, as amended by this Supplemental Agreement, shall remain in full force and effect in accordance with its terms, and during the period in which the amendments made by this Supplemental Agreement are to have effect all references in the Contract to "the contract", "herein", "hereof", "hereunder" and other similar expressions shall, unless the context requires otherwise, be read and construed as a reference to the Contract as amended by this Supplemental Agreement.

THIRD PARTY RIGHTS

No person who is not a party to this Supplemental Agreement shall have any right under the Contracts (Rights of Third Parties) Act 1999 to enforce any term of this Supplemental Agreement.

6. LAW

This Supplemental Agreement shall be governed by, construed and given effect to in all respects in accordance with English Law.

7. COUNTERPARTS

This Supplemental Agreement may be executed in two counterparts which, taken together, shall constitute one and the same document. Either party may enter into this Supplemental Agreement by signing either of such counterparts.

IN WITNESS whereof the duly authorised representatives of Network Rail and the Train Operator have executed this Supplemental Agreement on the date first above written

Print nameMARTIN FROBISHER
Duly authorised for and on behalf of
NETWORK RAIL INFRASTRUCTURE LIMITED
SIGNED by
Print nameDARREN HORLEY
Duly authorised for and on behalf of
WEST COAST TRAINS LIMITED

SIGNED by.....

ANNEX A

Table 2.1: Passenger Train Slots

1 able 2.1: Passenger Train Slots						2					
Service Gro Glasgow Ce		Euston to Presto	n, Lancaster, B	lackpool Nor	th and						
ervice desc	ription					Passen	ger Train S	lots			
From	То	Via	Description	TSC	Timing Load	Peak times ¹		Off-Peak	Weekday	Saturday	Sunday
			95 95			Morning Peak	Evening Peak	times ²	2.7%		
London Euston	Glasgow Central	WCML, Trent Valley	HF06.1	22112001	Class 390 - 125	N/A	5	10	15	13	11
London Euston	Lancaster	WCML, Trent Valley	HF06.2	22112001	Class 390 - 125	N/A	1	13	24	N/A	N/A
London Euston	Preston	WCML, Trent Valley	HF06.3	22112001	Class 390 - 125	N/A	1 ³	1	24	2	2
Glasgow Central	London Euston	WCML, Trent Valley	HF06.4	22112001	Class 390 - 125	2	N/A	13	15	14	9
Lancaster	London Euston	WCML, Trent Valley	HF06.5	22112001	Class 390 - 125	N/A	N/A	N/A	N/A	1	N/A
Preston	London Euston	WCML, Trent Valley	HF06.6	22112001	Class 390 - 125	1	N/A	N/A	13.5	N/A	3
Blackpool North	Crewe	Preston/WCML	HF06.7	22112001	Class 221T	N/A	N/A	1	1	N/A	N/A
Preston	Blackpool North	Direct	HF06.8	22112001	Class 221T	N/A	N/A	1	16	N/A	N/A
London Euston	Blackpool North	Preston/WCML	HF06.9	22112001	Class 390	N/A	N/A	2	2	2	N/A
Blackpool North	Euston	Preston/WCML	HF06.10	22112001	Class 390	N/A	N/A	2	2	2	N/A

Notes to Table:

- 1 Peak times arriving London Euston between 07.30 and 10.30, departing London Euston between 15.30 and 18.30
- 2 Off-Peak times arriving at and departing from a relevant station outside Peak times
- 3 Plus one on Fridays only
- 4 Plus one on Fridays only
- 5 One service shall start at Lancaster
- 6 This Service shall be combined with the Evening Peak Service in HF06.3 in order to provide a through Service from London Euston to Blackpool North

ANNEX B

Table 2.2: Additional Passenger Train Slots

	2 Additional Passenger Train Slots						
From	То	Via	Description	TSC	Weekday	Saturday	Sunday
London Euston	Manchester Piccadilly	N/A	HF03.3	22108001	1	N/A	N/A
Lancaster	Glasgow Central	N/A	HF06.1	22112001	1	N/A	N/A
London Euston	Lancaster	N/A	HF06.2	22112001	1	N/A	N/A
London Euston	Preston	N/A	HF06.3	22112001	1	N/A	N/A
Glasgow Central	Lancaster	N/A	HF06.4	22112001	1	N/A	N/A
Glasgow Central	London Euston	N/A	HF06.4	22112001	1	N/A	N/A
Preston	Euston	N/A	HF06.6	22112001	1	N/A	N/A
London Euston	Blackpool North	N/A	HF06.9	22112001	1	1	N/A
Blackpool North	London Euston	N/A	HF06.10	22112001	1	1	N/A

ANNEX C

Table 4.1: Calling Patterns

1					2	3
Service Group 6: Glasgow Central	London Euston to	Preston, Lancast	er, Blackpool N	lorth and		
Service descripti	on					
From	То	Via	Description	TSC	Regular Calling Pattern	Additional stations
London Euston	Glasgow Central	WCML, Trent Valley	HF06.1	22112001	Warrington Bank Quay, Wigan North Western Preston, Lancaster, Oxenholme Lake District or Penrith and Carlisle ¹	Watford Junction, Milton Keynes Central, Rugby, Nuneaton, Tamworth Low Level, Lichfield Trent Valley, Stafford, Crewe, Lockerbie. Motherwell
London Euston	Lancaster	WCML, Trent Valley	HF06.2	22112001	Tamworth Low Level, Lichfield Trent Valley, Crewe, Warrington Bank Quay, Wigan North Western and Preston	Watford Junction, Milton Keynes Central, Rugby, Nuneaton, Stafford, Crewe
London Euston	Preston	WCML, Trent Valley	HF06.3	22112001	Rugby, Stafford, Crewe, Warrington Bank Quay and Wigan North Western	Watford Junction, Milton Keynes Central, Nuneaton, Tamworth Low Level, Lichfield Trent Valley
Glasgow Central	London Euston	WCML, Trent Valley	HF06.4	22112001	Carlisle, Penrith or Oxenholme Lake District, Lancaster, Preston, Wigan North Western and Warrington Bank Quay ²	Motherwell, Lockerbie, Crewe, Stafford, Lichfield Trent Valley, Tamworth Low Level, Nuneaton, Rugby, Milton Keynes Central, Watford Junction
Lancaster	London Euston	WCML, Trent Valley	HF06.5	22112001	Preston, Wigan North Western, Warrington Bank Quay and Milton Keynes Central	Crewe, Stafford, Lichfield Trent Valley, Tamworth Low Level, Nuneaton, Rugby, Watford Junction
Preston ³	London Euston	WCML, Trent Valley	HF06.6	22112001	Wigan North Western, Warrington Bank Quay, Lichfield Trent Valley, Tamworth Low Level, Rugby	Stafford, Nuneaton, Rugby, Milton Keynes Central, Watford Junction
Blackpool North	Crewe	Preston/WCML	HF06.7	2112001	Kirkham & Wesham, Poulton le Fylde, Preston, Wigan North Western, Warrington Bank Quay,	
Preston	Blackpool North	Direct	HF06.8	22112001	Poulton le Fylde and Kirkham & Wesham	
London Euston	Blackpool North	Preston/WCML	HF06.9	22112001	Rugby, Warrington Bank Quay, Wigan North Western and Preston	Watford Junction, Milton Keynes Central, Tamworth Low Level, Lichfield Trent Valley, Crewe, Poulton le Fylde and Kirkham & Wesham
Blackpool North	London Euston	Preston/WCML	HF06.10	22112001	Preston, Wigan North Western, Warrington Bank Quay and Rugby	Kirkham & Wesham, Poulton le Fylde, Crewe, Lichfield Trent Valley, Tamworth Low Level, Milton Keynes Central and Watford Junction

Notes to Table:

Five SX Services, four SO Services and seven SU Services have Firm Rights to call at both Oxenholme Lake District and Penrith. Four SX Services, three SO Services and four SU Services have Firm Rights to call at both Penrith and Oxenholme Lake District One Service shall start from Lancaster

ANNEX D

APPENDIX 7C

Default Train Consist Data

Train Service Code	Type of Train Movement	Class 390 (11 car)		
22100001	Train movement(s) between London Euston and Birmingham New Street, Wolverhampton or Northampton			
22104001	Train movement(s) between London Euston and Holyhead/Llandudno	Class 221 (5 car)		
22108001	Train movement(s) between London Euston and Manchester Piccadilly	Class 390 (11 car)		
22109001	Train movement(s) between London Euston and Liverpool Lime Street	Class 390 (11 car)		
22112001	Train movement(s) between London Euston and Preston, Blackpool North, Lancaster, Carlisle, Glasgow Central and Edinburgh Waverley	Class 390 (11 car)		
22114001	Train movement(s) between London Euston and Birmingham New Street, Wolverhampton, Preston, Lancaster, Carlisle, Glasgow Central and Edinburgh Waverley	Class 390 (11 car)		
22104001	Train movement(s) between Chester and Wrexham General	Class 221 (5 car)		
22112005	Movements of Light Engines	One Class 57/3		