9TH SUPPLEMENTAL AGREEMENT

between

NETWORK RAIL INFRASTRUCTURE LIMITED

and

FIRST TRANSPENNINE EXPRESS LIMITED

relating to changes to Schedule 5 of the Track Access Contract for additional services between Manchester Airport and Glasgow Central, from Hull to Manchester Victoria and from Hull to Leeds

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THIS 9TH SUPPLEMENTAL AGREEMENT is dated 16th August 2017 and made between:

- (1) <u>NETWORK RAIL INFRASTRUCTURE LIMITED</u>, a company registered in England under company number 02904587, having its registered office at 1 Eversholt Street, London NW1 2DN ("Network Rail"); and
- (2) <u>FIRST TRANSPENNINE EXPRESS LIMITED</u>, a company registered in England under number 09000801 having its registered office at 50 Eastbourne Terrace, Paddington, London W2 6LG.

Background:

- (A) The parties entered into a Track Access Contract (Passenger Services) dated 03 March 2016 is hereafter referred to as the "Contract").
- (B) The parties now propose to enter into this Supplemental Agreement in order to amend the Contract as described herein.

IT IS HEREBY AGREED as follows:

1. INTERPRETATION

In this Supplemental Agreement words and expressions defined in and rules of interpretation set out in the Contract shall have the same meaning and effect when used in this Supplemental Agreement expect where the context requires otherwise.

2. EFFECTIVE DATE AND TERM

- 2.1 The amendments to the Contract as set out in this Supplemental Agreement shall have effect from the Effective Date and shall cease to have effect as follows:
 - 2.1.1 The amendments to the Anglo –Scottish Service Group shall cease to have effect on the expiry of the Contract.
 - 2.1.2 The amendments to the North Transpennine Service Group shall cease to have effect on the Subsidiary Change Date 2018.
- 2.2 Effective Date means the later of 19th August 2017 and the date upon which the Office of Rail and Road issues its approval, pursuant to Section 22 of the Act, of the terms of the Supplemental Agreement.

3. AMENDMENTS TO THE CONTRACT

The Contract shall be amended as follows:

3.1 In Table 2.1 of Schedule 5 to the Contract, Service Groups: North Transpennine (Westbound) and Anglo-Scottish shall be amended as set out in Annex A.

3.2 In Table 4.1 of Schedule 5 to the Contract an additional row shall be added to Service Group North Transpennine to include a calling pattern for the Hull to Manchester Victoria service, as set out in Annex B.

4. EFFECT OF THIS SUPPLEMENTAL AGREEMENT ON THE CONTRACT

The parties agree that the Contract, as amended by this Supplemental Agreement, shall remain in full force and effect in accordance with its terms, and with effect from and including the date hereof and during the period in which the amendments made by this Supplemental Agreement are to have effect, all references in the Contract to the "Contract", "herein", "hereof", "hereunder" and other similar expressions shall, unless the context requires otherwise, be read and construed as a reference to the Contract as amended by this Supplemental Agreement.

5. LAW

This Supplemental Agreement shall be governed by, construed and given effect to in all respects in accordance with the law of England and Wales.

6. THIRD PARTY RIGHTS

No person who is not a party to this Supplemental Agreement shall have any right under the Contracts (Rights of Third Parties) Act 1999 to enforce any term of this Supplemental Agreement.

7. COUNTERPARTS

This Supplemental Agreement may be executed in any number of counterparts, each of which when executed and delivered shall constitute an original, but all the counterparts shall together constitute one and the same instrument.

IN WITNESS of which Network Rail and the Train Operator have, by their duly authorised representatives, respectively entered into this Supplemental Agreement on the date first above written.

SIGNED BY . Mark Killick, Chief Operating Officer, LNW

PRINT NAME Mark Killick

Duly authorised for and on behalf of

SIGNED BY

PRINT NAME

Duly authorised for and on behalf of

FIRST TRANSPENNINE EXPRESS LIMITED

2 Passenger Train Slots

Annex A

Table 2.1: Passenger Train Slots

1						2		
Service Gr	oup: North Transpe	nnine (We:	stbound)					
Service de	scription			Passenger Tra	in Slots			
From	То	Via	Description	TSC	Timing Load	Weekday	Saturday	Sunday
Hull	Leeds		Westbound	21733000	185 or 170	2	2	41
Hull	Manchester Victoria		Westbound	21733000	185	12	0	0
Hull	Manchester Piccadilly		Westbound	21730000	185 or 170	13	13	8
Hull	Manchester Airport		Westbound	21730000	185 or 170	1	1	0

¹ The right to 1 of these services shall expire on the Subsidiary Change Date 2018

² This right shall expire on the Subsidiary Change Date 2018

1				2					
Service Group:	North Transpe	nnine (Westbo	ound)						
Service descrip	otion					Passenger Train Slots			
From	То	Via	Description	TSC	Timing Load	Weekday	Saturday	Sunday	
Middlesbrough	York	Yarm	Westbound	21734000	185	1	2	1	
Middlesbrough	York	Darlington	Westbound	21734000	185	1	1	0	
Middlesbrough	Leeds	Darlington	Westbound	21734000	185	1	0	0	
Middlesbrough	Manchester Airport	Yarm	Westbound	21734000	185	13	13	5	
Middlesbrough	Liverpool Lime Street	Yarm	Westbound	21734000	185	1	1	1	
Newcastle	Liverpool Lime Street	Manchester Victoria	Westbound	21731000	185	13	13	11	
Newcastle	Manchester Airport		Westbound	21731000 21734000	185	2	2	2	

...)

1						2		
Service Group	: North Transpe	nnine (Westbo	ound)					
Service descri	ption			Passenger Tra	ain Slots			
From	То	Via	Description	TSC	Timing Load	Weekday	Saturday	Sunday
Newcastle	Manchester Piccadilly		Westbound	21731000 21732000	185	0	0	1
Scarborough	Liverpool Lime Street		Westbound	21732000	185	13	14	12
Scarborough	Manchester Airport		Westbound	21732000 21734000	185	2	2	0
Scarborough	Manchester Piccadilly		Westbound	21734000	185	0	1	1
Scarborough	York		Westbound	21732000	185	2	3	0
York	Manchester Airport		Westbound	21734000	185	12	13	12
York	Liverpool Lime Street	Manchester Piccadilly	Westbound	21732000	185	1	1	2

1						2		
Service Grou	p: North Transpe	nnine (Westbo						
Service desci	ription		Passenger Tra	ain Slots				
From	То	Via	Description	TSC	Timing Load	Weekday	Saturday	Sunday
York	Liverpool Lime Street	Manchester Victoria	Westbound	21731000	185	1	1	0
York	Manchester Piccadilly		Westbound	21732000	185	4	3	0
Leeds	Manchester Piccadilly		Westbound	21733000	185 or 170	1	0	0
Manchester Piccadilly	Liverpool Lime Street		Westbound	21732000	185	2	1	1

1						2		
Service Group	: Anglo-Scottisl	1						
Service descr	iption		Passenger Train	n Slots				
From	То	Via	Description	TSC	Timing Load	Weekday	Saturday	Sunday
Manchester Airport	Edinburgh Waverley	Golborne Jn., Shap, Carlisle and Kirknewton	Northbound	21730001	350	8	7	6
Manchester Airport	Glasgow Central	Golborne Jn., Shap, Carlisle and Beattock	Northbound	21730001	350	6	5	6
Manchester Airport	Glasgow Central	Shap, Carlisle and Beattock	Northbound	21730001	185	1	1	0
Manchester Piccadilly	Glasgow Central	Shap, Carlisle and Beattock	Northbound	21730001	185	1	0	0
Manchester Airport	Preston	Golborne Jn.	Northbound	21730001	185	0	1	0
Edinburgh Waverley	Manchester Airport	Kirknewton, Carlisle, Shap and Golbome Jn.	Southbound	21730001	350	7	6	6

Service Grou	p: Anglo-Scottish	1						
Service desc	ription		Passenger Train Slots					
From	То	Via	Description	TSC	Timing Load	Weekday	Saturday	Sunda
Edinburgh Waverley	Manchester Airport	Kirknewton, Carlisle and Shap	Southbound	21730001	185	1	1	0
Glasgow Central	Manchester Airport	Beattock, Carlisle, Shap and Golborne Jn.	Southbound	21730001	350	8	5	5
Glasgow Central	Manchester Airport	Beattock, Carlisle and Shap	Southbound	21730001	185	0	1	0
Preston	Manchester Airport	Golbome Jn	Southbound	21730001	185	1	1	0
Lancaster	Manchester Airport	Golborne Jn	Southbound	21730001	185	0	1	0

4 Calling Patterns

Annex B

Table 4.1: Calling Patterns

				2	3
North Transpennin	8				
ion					
То	Via	Descriptio n	TSC	Regular Calling Pattern	Additional stations
Middlesbrough		Eastbound	21734000	Manchester Piccadilly, Huddersfield, Leeds, York, Thirsk, Northallerton, Yarm, Thomaby	Cross Gates, Garforth, Darlington
Hull		Eastbound	21733000	Stalybridge, Huddersfield, Dewsbury, Leeds, Selby, Brough	Howden, South Milford
Newcastle	Manchester Victoria	Eastbound	21731000	Manchester Victoria, Huddersfield, Leeds, York, Northallerton, Darlington, Durham, Chester-le- Street	Stalybridge, Thirsk,
Scarborough	Manchester Piccadilly	Eastbound	21732000 21734000	Liverpool South Parkway, Warrington Central, Birchwood, Manchester Piccadilly, Stalybridge, Huddersfield, Dewsbury, Leeds, Garforth, York, Malton, Seamer	Widnes, Irlam
York		Eastbound	21734000	Manchester Piccadilly, Huddersfield, Leeds	Stalybridge, Dewsbury, Garforth, Cross Gates
	Middlesbrough Hull Newcastle Scarborough	To Via Middlesbrough Hull Newcastle Manchester Victoria Scarborough Manchester Piccadilly	To Via Description Middlesbrough Eastbound Hull Eastbound Newcastle Manchester Victoria Eastbound Scarborough Manchester Piccadilly Eastbound	To Via Descriptio n TSC n Middlesbrough Eastbound 21734000 Hull Eastbound 21733000 Newcastle Manchester Victoria Eastbound 21731000 Scarborough Manchester Piccadilly Eastbound 21734000	North Transpennine To Via Descriptio n TSC Regular Calling Pattern Middlesbrough Eastbound 21734000 Manchester Piccadilly, Huddersfield, Leeds, York, Thirsk, Northallerton, Yarm, Thomaby Hull Eastbound 21733000 Stalybridge, Huddersfield, Dewsbury, Leeds, Selby, Brough Newcastle Manchester Victoria Eastbound 21731000 Manchester Victoria, Huddersfield, Leeds, York, Northallerton, Darlington, Durham, Chester-le-Street Scarborough Manchester Piccadilly Eastbound 21734000 Liverpool South Parkway, Warrington Central, Birchwood, Manchester Piccadilly, Stalybridge, Huddersfield, Dewsbury, Leeds, Garforth, York, Malton, Seamer York Eastbound 21734000 Manchester Piccadilly, Huddersfield, Manchester Piccadilly

1					2	3
Service Group:	North Transpennin	e				
Service descrip	tion				-	
From	То	Via	Descriptio n	TSC	Regular Calling Pattern	Additional stations
Newcastle	Liverpool Lime Street	Manchester Victoria	Westbound	21731000	Chester-le-Street, Durham, Darlington, Northallerton, York, Leeds, Huddersfield, Manchester Victoria	Thirsk
Scarborough	Liverpool Lime Street	Manchester Piccadilly	Westbound	21732000	Seamer, Malton, York, Leeds, Dewsbury, Huddersfield, Stalybridge, Manchester Piccadilly, Birchwood, Warrington, Liverpool South Parkway	
Middlesbrough	Manchester Airport		Westbound	21734000	Thomaby, Yarm, Northallerton, Thirsk, York, Leeds, Huddersfield, Manchester Piccadilly	Garforth, Dewsbury, Stalybridge, Darlingtor
York	Manchester Airport		Westbound	21734000	Garforth, Leeds, Huddersfield, Manchester Piccadilly	Dewsbury
Hull	Manchester Piccadilly		Westbound	21733000	Brough, Selby, Leeds, Dewsbury, Huddersfield, Stalybridge	Howden, South Milford
Hull	Manchester Victoria		Westbound	21733000	Brough, Selby, Leeds, Dewsbury	

