



OFFICE of the
RAIL REGULATOR

2 November 2000

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Our ref: ORR/OPS/4.16.2/WORTLEY JUNCTION
Your ref:

Dear 

**INFORMED TRAVELLER (T-12) COMPLIANCE: LEEDS 1ST
WORTLEY JUNCTION, 4/5 AND 11/12 NOVEMBER**

1. Thank you for your fax of 1 November, received this morning.
2. In the light of the information provided in your letter, I am satisfied that the need for short notice changes to possessions planned at Wortley Junction for 4/5 and 11/12 November is a contravention of paragraph 3 of Condition 8 of Railtrack's Network Licence.
3. Given the circumstances described in your letter, it is obviously in the interests of both passengers and train operators that there is not a repeat of the overrun which occurred on 9 October. Northern Spirit had also warned Peter Lane in writing (letter dated 27 October and received on 31 October) that these late changes had been discussed by, and agreed with, Railtrack.
4. I am also satisfied that under section 55(5)(a) of the Railways Act 1993 the Regulator's section 4 duties would preclude the making of a provisional or final enforcement order, and accordingly, you should treat this letter as a notice for the purposes of section 55(6) of the Railways Act 1993. A copy of this letter will be placed on the public register.

MICHAEL BESWICK
DIRECTOR
NETWORK REGULATION

Direct line
020 7282 2031

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020 7282 2042



5. Whilst I recognise the fact that Railtrack has investigated the causes of the 9 October overrun and is therefore seeking to minimise the potential for a repeat, it does beg the question as to whether the original booked possessions were long enough to undertake the required amount of work in the first take place.

6. A copy of this letter goes to Nicholas Pollard, Zone Director at Railtrack LNE Zone, Stuart Baker, Deputy Managing Director at Northern Spirit, John Conway, Head of Operational Planning at Railtrack HQ, John Smith, Head of Regulatory Affairs at Railtrack HQ, Mark Norrington at the SSRA and to colleagues here.

Yours Sincerely,

A handwritten signature in black ink, appearing to read "Michael Beswick". The signature is fluid and cursive, with a large loop at the end.

MICHAEL BESWICK