

Mr Steve Leigh  
Program Manager  
Network Rail Telecoms  
The Quadrant, Level 2 Caldecotte,  
Eldergate  
Milton Keynes  
Buckinghamshire  
MK9 1HA

Your Ref : OP158365  
R00008

Case Ref : PRM-IOP-0333

EIN Number : As listed

Date: 27<sup>th</sup> August 2020

**Contact: Stephen Williams**

3<sup>rd</sup> Floor, Mallard House, Kings Pool,  
1-2 Peasholme Green,  
York.  
YO1 7PX.

Dear Steve,

**THE RAILWAYS (INTEROPERABILITY) REGULATIONS 2011, AS AMENDED  
TYPE AUTHORISATION OF GSMR V4.0 FITTED TO THE VEHICLES LISTED IN  
THIS LETTER IN CONFORMITY WITH EUROPEAN REGISTER OF AUTHORISED  
TYPE REFERENCE 11-095-0001-5-001.**

I refer to your application for type authorisation, received on 24<sup>th</sup> August 2020. Following a review of your application, I can confirm that ORR grants an authorisation under regulation 9 of the Railways (Interoperability) Regulations 2011, as amended.

This authorisation is for the placing in service of V4.0 GSM-R Cab Mobile sub system fitted with an interference resistant transceiver. In addition, there is an option to replace the current GSM-R antenna with a combined GSM-R/GPS antenna and to fit and additional LTE antenna.

- GSM-R Cab Mobile Type - GSM-R V4.0 Cab Mobile SVR400+ with NR4.0 software (Build 1127) which incorporate an ETSI TS 102 933 V 2.1.1 compliant transceiver.
- GSM-R/GPS antenna (Fitment of combined GSM-R/GPS antennae is optional)
  - Huber & Suhner – SWA-0825/360/5/30/DFRX30
  - Polomarconi – BGLD1/S
- LTE antenna (Fitment of LTE antennae is optional)
  - Huber & Suhner – SWA-0825/360/5/30/MIMO
  - Panorama Antennas – TRNM-7-60-NJ
  - Polomarconi – T01811814
  - Huber & Suhner – Sencity Rail MIMO Antenna 1399.17.0222

This conforms to European Register of Authorised Types (ERATV) type 11-095-0001-5-001

This authorisation applies to the following inclusive.

Operator	Class	Vehicle	Cab	V4.0 Installation Date	V4.0 Serial Number	EIN
Arriva Rail London	378	378143	A	07/08/2020	06103890	UK/51/2020/0039
Arriva Rail London	378	378143	B	07/08/2020	06102030	UK/51/2020/0039
Arriva Rail London	378	378152	A	21/08/2020	06155623	UK/51/2020/0039
Arriva Rail London	378	378152	B	21/08/2020	06185369	UK/51/2020/0039
Arriva Rail London	378	378205	A	30/07/2020	06714148	UK/51/2020/0039
Arriva Rail London	378	378205	B	30/07/2020	06084321	UK/51/2020/0039
Arriva Rail London	378	378209	A	07/08/2020	06419310	UK/51/2020/0039
Arriva Rail London	378	378209	B	07/08/2020	06103903	UK/51/2020/0039
Arriva Rail London	378	378210	A	17/08/2020	06118148	UK/51/2020/0039
Arriva Rail London	378	378210	B	17/08/2020	06157635	UK/51/2020/0039
Arriva Rail London	378	378211	A	11/08/2020	06077333	UK/51/2020/0039
Arriva Rail London	378	378211	B	11/08/2020	06077347	UK/51/2020/0039
Arriva Rail London	378	378212	A	11/08/2020	06105054	UK/51/2020/0039
Arriva Rail London	378	378212	B	11/08/2020	06105053	UK/51/2020/0039
Arriva Rail London	378	378213	A	16/08/2020	06139115	UK/51/2020/0039
Arriva Rail London	378	378213	B	16/08/2020	06186188	UK/51/2020/0039
Arriva Rail London	378	378214	A	12/08/2020	06077337	UK/51/2020/0039
Arriva Rail London	378	378214	B	12/08/2020	06136193	UK/51/2020/0039
Arriva Rail London	378	378221	A	11/08/2020	06103886	UK/51/2020/0039
Arriva Rail London	378	378221	B	11/08/2020	06137413	UK/51/2020/0039
Arriva Rail London	378	378225	A	30/07/2020	06077346	UK/51/2020/0039
Arriva Rail London	378	378225	B	30/07/2020	06137433	UK/51/2020/0039
Balfour Beatty	072	072211	A	21/08/2020	06245164	UK/51/2020/0050
Balfour Beatty	072	072211	B	21/08/2020	06178026	UK/51/2020/0050
Balfour Beatty	072	072213	A	20/08/2020	06168496	UK/51/2020/0050
Balfour Beatty	072	072213	B	20/08/2020	06359239	UK/51/2020/0050
Balfour Beatty	073	073937	A	13/08/2020	06720042	UK/51/2020/0018
Balfour Beatty	073	073937	B	13/08/2020	06710781	UK/51/2020/0018
Balfour Beatty	073	073939	A	14/08/2020	06167606	UK/51/2020/0018
Balfour Beatty	073	073939	B	14/08/2020	06163052	UK/51/2020/0018
Balfour Beatty	075	075409	A	06/08/2020	06602540	UK/51/2020/0016

Balfour Beatty	075	075410	A	06/08/2020	06710764	UK/51/2020/0016
Balfour Beatty	075	075410	B	06/08/2020	06234209	UK/51/2020/0016
Balfour Beatty	075	075501	A	07/08/2020	06710777	UK/51/2020/0016
Balfour Beatty	075	075501	B	07/08/2020	06714116	UK/51/2020/0016
Chiltern Railways	165	165001	A	31/07/2020	06154618	UK/51/2020/0042
Chiltern Railways	165	165001	B	31/07/2020	06172894	UK/51/2020/0042
Chiltern Railways	165	165009	A	27/07/2020	06177096	UK/51/2020/0042
Chiltern Railways	165	165009	B	27/07/2020	06222600	UK/51/2020/0042
Chiltern Railways	165	165013	A	03/08/2020	06137032	UK/51/2020/0042
Chiltern Railways	165	165013	B	03/08/2020	06137029	UK/51/2020/0042
Chiltern Railways	165	165015	A	14/08/2020	06154615	UK/51/2020/0042
Chiltern Railways	165	165015	B	14/08/2020	06235472	UK/51/2020/0042
Chiltern Railways	165	165018	A	30/07/2020	06136208	UK/51/2020/0042
Chiltern Railways	165	165018	B	30/07/2020	06172891	UK/51/2020/0042
Chiltern Railways	165	165019	A	11/08/2020	06238441	UK/51/2020/0042
Chiltern Railways	165	165019	B	11/08/2020	06235451	UK/51/2020/0042
Chiltern Railways	165	165024	A	20/08/2020	06233711	UK/51/2020/0042
Chiltern Railways	165	165024	B	20/08/2020	06267775	UK/51/2020/0042
Chiltern Railways	165	165027	A	06/08/2020	06174496	UK/51/2020/0042
Chiltern Railways	165	165027	B	06/08/2020	06235446	UK/51/2020/0042
Chiltern Railways	165	165029	A	20/08/2020	06172656	UK/51/2020/0042
Chiltern Railways	165	165029	B	20/08/2020	06154646	UK/51/2020/0042
Chiltern Railways	165	165030	A	04/08/2020	06139113	UK/51/2020/0042
Chiltern Railways	165	165030	B	05/08/2020	06136220	UK/51/2020/0042
Chiltern Railways	165	165035	A	12/08/2020	06232253	UK/51/2020/0042
Chiltern Railways	165	165035	B	12/08/2020	06136219	UK/51/2020/0042
Chiltern Railways	168	168001	A	21/08/2020	06239112	UK/51/2020/0043
Chiltern Railways	168	168001	B	20/08/2020	06136186	UK/51/2020/0043
Chiltern Railways	168	168002	A	05/08/2020	06166843	UK/51/2020/0043
Chiltern Railways	168	168002	B	05/08/2020	06229721	UK/51/2020/0043
Chiltern Railways	168	168005	A	21/08/2020	06238449	UK/51/2020/0043
Chiltern Railways	168	168005	B	22/08/2020	06137030	UK/51/2020/0043
Chiltern Railways	168	168106	A	30/07/2020	06115286	UK/51/2020/0043
Chiltern Railways	168	168106	B	29/07/2020	06230492	UK/51/2020/0043
Chiltern Railways	168	168107	A	19/08/2020	06154631	UK/51/2020/0043
Chiltern Railways	168	168107	B	19/08/2020	06229691	UK/51/2020/0043
Chiltern Railways	168	168109	A	29/07/2020	06230495	UK/51/2020/0043
Chiltern Railways	168	168109	B	28/07/2020	06115295	UK/51/2020/0043
Chiltern Railways	168	168112	A	10/08/2020	06233049	UK/51/2020/0043

Chiltern Railways	168	168112	B	11/08/2020	06230540	UK/51/2020/0043
Chiltern Railways	168	168113	A	19/08/2020	06257079	UK/51/2020/0043
Chiltern Railways	168	168113	B	18/08/2020	06236882	UK/51/2020/0043
Chiltern Railways	172	172101	A	14/08/2020	06136204	UK/51/2020/0029
Chiltern Railways	172	172101	B	13/08/2020	06279264	UK/51/2020/0029
Colas Rail	073	073929	A	31/07/2020	06602530	UK/51/2020/0018
Colas Rail	073	073929	B	31/07/2020	06357287	UK/51/2020/0018
Colas Rail	073	073930	A	31/07/2020	06420677	UK/51/2020/0018
Colas Rail	073	073930	B	31/07/2020	06362286	UK/51/2020/0018
Colas Rail	073	073936	A	01/08/2020	06257070	UK/51/2020/0018
Colas Rail	073	073936	B	01/08/2020	06357272	UK/51/2020/0018
Colas Rail	073	073948	A	05/08/2020	06720038	UK/51/2020/0018
Colas Rail	073	073948	B	05/08/2020	06324568	UK/51/2020/0018
Cross Country	170	170520	A	05/08/2020	06190897	UK/51/2020/0051
Network Rail	098	098913	A	28/07/2020	06257069	UK/51/2020/0022
Network Rail	098	098914	A	30/07/2020	06232216	UK/51/2020/0022
Network Rail	098	098915	A	30/07/2020	06135415	UK/51/2020/0022
Network Rail	098	098917	A	11/08/2020	06128579	UK/51/2020/0022
Network Rail	098	098918	A	12/08/2020	06606078	UK/51/2020/0022
Network Rail	098	098919	A	12/08/2020	06602542	UK/51/2020/0022
Network Rail	098	098920	A	19/08/2020	06123560	UK/51/2020/0022
Network Rail	098	098921	A	18/08/2020	06128575	UK/51/2020/0022
Network Rail	098	098922	A	03/08/2020	06796835	UK/51/2020/0022
Network Rail	098	098923	A	04/08/2020	06606080	UK/51/2020/0022
Network Rail	098	098926	A	29/07/2020	06134441	UK/51/2020/0022
Network Rail	098	098927	A	29/07/2020	06223443	UK/51/2020/0022
Network Rail	098	098928	A	11/08/2020	06710754	UK/51/2020/0022
Network Rail	098	098929	A	12/08/2020	06229686	UK/51/2020/0022
Network Rail	098	098930	A	18/08/2020	06207673	UK/51/2020/0022
Network Rail	098	098963	A	28/07/2020	06234175	UK/51/2020/0022
Network Rail	098	098964	A	30/07/2020	06234204	UK/51/2020/0022
Network Rail	098	098965	A	30/07/2020	06207694	UK/51/2020/0022
Network Rail	098	098967	A	11/08/2020	06369216	UK/51/2020/0022
Network Rail	098	098968	A	12/08/2020	06401320	UK/51/2020/0022
Network Rail	098	098969	A	12/08/2020	06225070	UK/51/2020/0022
Network Rail	098	098970	A	19/08/2020	06159234	UK/51/2020/0022
Network Rail	098	098971	A	18/08/2020	06154599	UK/51/2020/0022
Network Rail	098	098972	A	03/08/2020	06273863	UK/51/2020/0022
Network Rail	098	098973	A	04/08/2020	06796833	UK/51/2020/0022

Network Rail	098	098974	A	04/08/2020	06235449	UK/51/2020/0022
Network Rail	098	098976	A	29/07/2020	06238439	UK/51/2020/0022
Network Rail	098	098977	A	29/07/2020	06234738	UK/51/2020/0022
Network Rail	098	098978	A	11/08/2020	06225088	UK/51/2020/0022
Network Rail	098	098979	A	12/08/2020	06720036	UK/51/2020/0022
Network Rail	098	098980	A	18/08/2020	06351989	UK/51/2020/0022
South Western Railway	455	455701	A	02/08/2020	06239148	UK/51/2020/0010
South Western Railway	455	455701	B	02/08/2020	06239115	UK/51/2020/0010
South Western Railway	455	455704	A	03/08/2020	06239565	UK/51/2020/0010
South Western Railway	455	455704	B	03/08/2020	06239135	UK/51/2020/0010
South Western Railway	455	455705	A	16/08/2020	06236884	UK/51/2020/0010
South Western Railway	455	455705	B	16/08/2020	06848219	UK/51/2020/0010
South Western Railway	455	455706	A	09/08/2020	06236888	UK/51/2020/0010
South Western Railway	455	455706	B	09/08/2020	06230959	UK/51/2020/0010
South Western Railway	455	455708	A	09/08/2020	06240338	UK/51/2020/0010
South Western Railway	455	455708	B	09/08/2020	06239142	UK/51/2020/0010
South Western Railway	455	455711	A	31/07/2020	06247584	UK/51/2020/0010
South Western Railway	455	455711	B	31/07/2020	06239554	UK/51/2020/0010
South Western Railway	455	455714	A	10/08/2020	06251137	UK/51/2020/0010
South Western Railway	455	455714	B	10/08/2020	06230514	UK/51/2020/0010
South Western Railway	455	455715	A	21/08/2020	06241009	UK/51/2020/0010
South Western Railway	455	455715	B	21/08/2020	06252890	UK/51/2020/0010
South Western Railway	455	455716	A	28/07/2020	06726976	UK/51/2020/0010
South Western Railway	455	455716	B	28/07/2020	06239128	UK/51/2020/0010
South Western Railway	455	455719	A	28/07/2020	06239118	UK/51/2020/0010
South Western Railway	455	455719	B	28/07/2020	06236861	UK/51/2020/0010
South Western Railway	455	455722	A	30/07/2020	06123566	UK/51/2020/0010
South Western Railway	455	455722	B	30/07/2020	06239547	UK/51/2020/0010
South Western Railway	455	455723	A	29/07/2020	06239122	UK/51/2020/0010
South Western Railway	455	455723	B	29/07/2020	06239144	UK/51/2020/0010
South Western Railway	455	455724	A	21/08/2020	06731897	UK/51/2020/0010
South Western Railway	455	455724	B	21/08/2020	06240333	UK/51/2020/0010
South Western Railway	455	455726	A	12/08/2020	06252901	UK/51/2020/0010
South Western Railway	455	455726	B	12/08/2020	06240366	UK/51/2020/0010
South Western Railway	455	455727	A	09/08/2020	06235442	UK/51/2020/0010
South Western Railway	455	455727	B	09/08/2020	06230873	UK/51/2020/0010
South Western Railway	455	455730	A	19/08/2020	06126218	UK/51/2020/0010
South Western Railway	455	455730	B	19/08/2020	06236866	UK/51/2020/0010
South Western Railway	455	455734	A	04/08/2020	06240341	UK/51/2020/0010

South Western Railway	455	455734	B	04/08/2020	06239567	UK/51/2020/0010
South Western Railway	455	455737	A	22/08/2020	06233714	UK/51/2020/0010
South Western Railway	455	455737	B	22/08/2020	06239552	UK/51/2020/0010
South Western Railway	455	455738	A	10/08/2020	06245162	UK/51/2020/0010
South Western Railway	455	455738	B	10/08/2020	06254457	UK/51/2020/0010
South Western Railway	455	455740	A	27/07/2020	06731884	UK/51/2020/0010
South Western Railway	455	455740	B	27/07/2020	06731910	UK/51/2020/0010
South Western Railway	455	455741	A	04/08/2020	06239139	UK/51/2020/0010
South Western Railway	455	455741	B	04/08/2020	06239555	UK/51/2020/0010
South Western Railway	455	455750	A	30/07/2020	06239134	UK/51/2020/0010
South Western Railway	455	455750	B	22/08/2020	06239140	UK/51/2020/0010
South Western Railway	455	455848	A	05/08/2020	06239126	UK/51/2020/0010
South Western Railway	455	455848	B	05/08/2020	06239113	UK/51/2020/0010
South Western Railway	455	455849	A	08/08/2020	06235428	UK/51/2020/0010
South Western Railway	455	455849	B	08/08/2020	06083257	UK/51/2020/0010
South Western Railway	455	455850	A	11/08/2020	06230863	UK/51/2020/0010
South Western Railway	455	455850	B	11/08/2020	06231490	UK/51/2020/0010
South Western Railway	455	455851	A	21/08/2020	06241003	UK/51/2020/0010
South Western Railway	455	455851	B	21/08/2020	06253246	UK/51/2020/0010
South Western Railway	455	455853	A	16/08/2020	06239155	UK/51/2020/0010
South Western Railway	455	455853	B	16/08/2020	06239133	UK/51/2020/0010
South Western Railway	455	455858	A	07/08/2020	06253510	UK/51/2020/0010
South Western Railway	455	455858	B	07/08/2020	06231488	UK/51/2020/0010
South Western Railway	455	455860	A	02/08/2020	06239550	UK/51/2020/0010
South Western Railway	455	455860	B	02/08/2020	06239154	UK/51/2020/0010
South Western Railway	455	455864	A	07/08/2020	06252880	UK/51/2020/0010
South Western Railway	455	455864	B	07/08/2020	06232276	UK/51/2020/0010
South Western Railway	455	455865	A	15/08/2020	06240342	UK/51/2020/0010
South Western Railway	455	455865	B	15/08/2020	06235432	UK/51/2020/0010
South Western Railway	455	455867	A	13/08/2020	06731861	UK/51/2020/0010
South Western Railway	455	455867	B	13/08/2020	06252788	UK/51/2020/0010
South Western Railway	455	455870	A	17/08/2020	06848233	UK/51/2020/0010
South Western Railway	455	455870	B	17/08/2020	06848217	UK/51/2020/0010
South Western Railway	455	455874	A	17/08/2020	06848228	UK/51/2020/0010
South Western Railway	455	455874	B	17/08/2020	06235421	UK/51/2020/0010
South Western Railway	455	455906	A	05/08/2020	06239549	UK/51/2020/0010
South Western Railway	455	455906	B	05/08/2020	06239137	UK/51/2020/0010
South Western Railway	455	455907	A	22/08/2020	06249058	UK/51/2020/0010
South Western Railway	455	455907	B	22/08/2020	06254425	UK/51/2020/0010

South Western Railway	455	455909	A	19/08/2020	06848218	UK/51/2020/0010
South Western Railway	455	455909	B	19/08/2020	06848231	UK/51/2020/0010
South Western Railway	455	455910	A	31/07/2020	06254481	UK/51/2020/0010
South Western Railway	455	455910	B	31/07/2020	06239566	UK/51/2020/0010
South Western Railway	455	455911	A	11/08/2020	06254429	UK/51/2020/0010
South Western Railway	455	455911	B	11/08/2020	06232225	UK/51/2020/0010
South Western Railway	455	455912	A	08/08/2020	06230544	UK/51/2020/0010
South Western Railway	455	455912	B	08/08/2020	06239116	UK/51/2020/0010
South Western Railway	455	455916	A	15/08/2020	06230906	UK/51/2020/0010
South Western Railway	455	455916	B	15/08/2020	06234758	UK/51/2020/0010
South Western Railway	455	455917	A	09/08/2020	06241007	UK/51/2020/0010
South Western Railway	455	455917	B	09/08/2020	06230986	UK/51/2020/0010
South Western Railway	456	456001	A	26/07/2020	06172391	UK/51/2020/0052
South Western Railway	456	456001	B	26/07/2020	06121185	UK/51/2020/0052
South Western Railway	456	456002	A	15/08/2020	06230985	UK/51/2020/0052
South Western Railway	456	456002	B	15/08/2020	06239563	UK/51/2020/0052
South Western Railway	456	456011	A	21/08/2020	06253271	UK/51/2020/0052
South Western Railway	456	456011	B	21/08/2020	06254453	UK/51/2020/0052
South Western Railway	456	456012	A	19/08/2020	06236899	UK/51/2020/0052
South Western Railway	456	456012	B	19/08/2020	06245146	UK/51/2020/0052
South Western Railway	456	456014	A	26/07/2020	06175339	UK/51/2020/0052
South Western Railway	456	456014	B	26/07/2020	06174536	UK/51/2020/0052
South Western Railway	456	456018	A	18/08/2020	06252882	UK/51/2020/0052
South Western Railway	456	456018	B	18/08/2020	06848235	UK/51/2020/0052
South Western Railway	458	458504	A	13/08/2020	06848220	UK/51/2020/0047
South Western Railway	458	458504	B	13/08/2020	06240358	UK/51/2020/0047
South Western Railway	458	458506	A	01/08/2020	06236868	UK/51/2020/0047
South Western Railway	458	458506	B	01/08/2020	06239119	UK/51/2020/0047
South Western Railway	458	458512	A	01/08/2020	06239553	UK/51/2020/0047
South Western Railway	458	458512	B	01/08/2020	06236859	UK/51/2020/0047
South Western Railway	458	458513	A	12/08/2020	06252915	UK/51/2020/0047
South Western Railway	458	458513	B	12/08/2020	06252905	UK/51/2020/0047
South Western Railway	458	458519	A	17/08/2020	06239545	UK/51/2020/0047
South Western Railway	458	458521	A	18/08/2020	06245149	UK/51/2020/0047
South Western Railway	458	458521	B	18/08/2020	06737887	UK/51/2020/0047
South Western Railway	458	458531	A	14/08/2020	06245147	UK/51/2020/0047
South Western Railway	458	458531	B	14/08/2020	06254449	UK/51/2020/0047
South Western Railway	458	458532	A	14/08/2020	06235420	UK/51/2020/0047
South Western Railway	458	458532	B	14/08/2020	06230864	UK/51/2020/0047

South Western Railway	458	458534	A	29/07/2020	06236858	UK/51/2020/0047
South Western Railway	458	458534	B	29/07/2020	06236347	UK/51/2020/0047
Southeastern	375	375302	A	29/07/2020	06228286	UK/51/2020/0035
Southeastern	375	375302	B	29/07/2020	06137409	UK/51/2020/0035
Southeastern	375	375309	A	22/08/2020	06143372	UK/51/2020/0035
Southeastern	375	375309	B	22/08/2020	06731860	UK/51/2020/0035
Southeastern	375	375310	A	31/07/2020	06266298	UK/51/2020/0035
Southeastern	375	375310	B	31/07/2020	06227375	UK/51/2020/0035
Southeastern	375	375607	A	17/08/2020	06140203	UK/51/2020/0035
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Southeastern	375	375911	B	15/08/2020	06142105	UK/51/2020/0035
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Transport for Wales	230	230009	A	05/08/2020	06833925	UK/51/2020/0014
Transport for Wales	230	230009	B	10/08/2020	06833937	UK/51/2020/0014
Volker Rail	75	75504	A	27/07/2020	06880279	UK/51/2020/0016
Volker Rail	75	75504	B	27/07/2020	06905447	UK/51/2020/0016
West Midlands Trains	172	172216	A	08/08/2020	06142094	UK/51/2020/0029
West Midlands Trains	172	172216	B	08/08/2020	06121187	UK/51/2020/0029
West Midlands Trains	172	172334	A	13/08/2020	06159578	UK/51/2020/0029
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West Midlands Trains	172	172339	B	18/08/2020	06139108	UK/51/2020/0029
West Midlands Trains	319	319214	A	12/08/2020	06159580	UK/51/2020/0053
West Midlands Trains	319	319214	B	12/08/2020	06186425	UK/51/2020/0053
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West Midlands Trains	319	319217	A	17/08/2020	06305548	UK/51/2020/0053
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West Midlands Trains	319	319429	A	20/08/2020	06119684	UK/51/2020/0053
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West Midlands Trains	323	323216	A	01/08/2020	06159242	UK/51/2020/0025
West Midlands Trains	323	323216	B	01/08/2020	06142072	UK/51/2020/0025
West Midlands Trains	350	350118	A	20/08/2020	06131400	UK/51/2020/0026
West Midlands Trains	350	350118	B	20/08/2020	06118952	UK/51/2020/0026
West Midlands Trains	350	350122	A	18/08/2020	06159808	UK/51/2020/0026
West Midlands Trains	350	350122	B	18/08/2020	06186434	UK/51/2020/0026
West Midlands Trains	350	350123	A	31/07/2020	06121183	UK/51/2020/0026

West Midlands Trains	350	350123	B	31/07/2020	06120232	UK/51/2020/0026
West Midlands Trains	350	350125	A	18/08/2020	06126243	UK/51/2020/0026
West Midlands Trains	350	350125	B	18/08/2020	06179749	UK/51/2020/0026
West Midlands Trains	350	350130	A	17/08/2020	06126221	UK/51/2020/0026
West Midlands Trains	350	350130	B	17/08/2020	06186435	UK/51/2020/0026
West Midlands Trains	350	350256	A	18/08/2020	06120234	UK/51/2020/0026
West Midlands Trains	350	350256	B	18/08/2020	06289280	UK/51/2020/0026
West Midlands Trains	350	350260	A	17/08/2020	06121101	UK/51/2020/0026
West Midlands Trains	350	350260	B	17/08/2020	06126278	UK/51/2020/0026
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West Midlands Trains	350	350374	A	27/07/2020	06120228	UK/51/2020/0026
West Midlands Trains	350	350374	B	27/07/2020	06186450	UK/51/2020/0026
Merseyrail	508	508104	A	30/01/2020	06737884	UK/51/2020/0012 #1
Merseyrail	508	508117	B	22/11/2019	06737890	UK/51/2020/0012 #1
Merseyrail	508	508117	A	22/11/2019	06737882	UK/51/2020/0012 #1
Merseyrail	508	508120	B	22/11/2019	06737879	UK/51/2020/0012 #1
Merseyrail	508	508120	A	22/11/2019	06737508	UK/51/2020/0012 #1
Merseyrail	508	508139	A	29/11/2019	06737516	UK/51/2020/0012 #1
Merseyrail	508	508139	B	29/11/2019	06737885	UK/51/2020/0012 #1
Merseyrail	508	508140	A	10/01/2020	06737891	UK/51/2020/0012 #1
Merseyrail	508	508140	B	10/01/2020	06737878	UK/51/2020/0012 #1
South Western Railway	158	158881	B	19/11/2019	06737482	UK/51/2020/0013 #1
South Western Railway	158	158881	A	19/11/2019	06737497	UK/51/2020/0013 #1
South Western Railway	158	158882	A	20/11/2019	06831537	UK/51/2020/0013 #1
South Western Railway	158	158882	B	20/11/2019	06737484	UK/51/2020/0013 #1
Arriva Rail London	378	378146	A	17/04/2020	06926847	UK/51/2020/0039 #1
Arriva Rail London	378	378146	B	07/04/2020	06912753	UK/51/2020/0039 #1
Arriva Rail London	378	378222	A	18/04/2020	06912757	UK/51/2020/0039 #1
Arriva Rail London	378	378222	B	18/04/2020	06912735	UK/51/2020/0039 #1
Arriva Rail London	378	378229	A	10/04/2020	06929506	UK/51/2020/0039 #1
Arriva Rail London	378	378229	B	10/04/2020	06914029	UK/51/2020/0039 #1
Arriva Rail London	378	378234	A	17/04/2020	06926845	UK/51/2020/0039 #1
Arriva Rail London	378	378234	B	17/04/2020	06912775	UK/51/2020/0039 #1
Arriva Rail London	378	378256	A	16/04/2020	06926846	UK/51/2020/0039 #1
Arriva Rail London	378	378256	B	16/04/2020	06912776	UK/51/2020/0039 #1

#1 Note: EIN errors have been corrected from previous authorisation letters. Please update your records as required.

The restrictions or limitations of use on the structural subsystem are those referenced in the Determination of Type.

The rolling stock subsystem authorised by this letter must be operated and maintained in accordance with Regulation 20.

You should be aware that any future modifications to the authorised subsystem may constitute a 'renewal' or an 'upgrade' as defined in Regulation 2. If a project entity, in relation to the project, considers that the modification meets either of these definitions they may apply, in accordance with the provisions of Regulation 13, to the Department for Transport (DfT) for a decision on whether a new authorisation will be required. Should DfT decide that an authorisation is not required they must consult with ORR whether authorisation is required on safety grounds.

As the project entity you are responsible for retaining the declaration of conformity to an authorised type of railway vehicle, documentation recording any alterations, and maintenance manuals in relation to the subsystem, keeping them up to date and making them available to the ORR in accordance with Regulation 19.

If you are not the owner of the authorised subsystem you shall within 60 days, in accordance with Regulation 19(3), transfer the declaration of conformity to an authorised type of railway vehicle, documentation recording any alterations, and maintenance manuals in relation to the subsystem to the owner of the subsystem and the owner shall then be regarded as the project entity. If the owner, in accordance with Regulation 19(4), disposes of his interest in the authorised subsystem, he shall within 60 days of the disposal transfer the declaration of conformity to an authorised type of railway vehicle, documentation recording any alterations, and maintenance manuals in relation to the subsystem to the person acquiring that interest and that person shall be regarded as the project entity.

Please note that under Regulation 36, the person who applied for the authorisation shall send particulars to the Registration Entity to enable the registration entity to enter the information on the National Vehicle Register. This will include such further information as the registration entity may reasonably require set out in the relevant standard.

If you are the operator, may I remind you of the need to have adequate arrangements within your Safety Management System to control the risks associated with this rolling stock subsystem.

This decision letter will be published on ORR's website.

Yours sincerely



Steve Fletcher - ORR Deputy Director, Railway Planning and Performance.

Cc

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