

11 January 2018

Phil Hennessy
Senior Contract Manager
Alstom Transport UK Limited
12th Floor, Quayside Tower
252-260 Broad Street
Birmingham B1 2HF

Dear Phil

**Alstom Transport UK Limited/West Coast Traincare Limited (Alstom):
Variation from ORR's general approval**

ORR's general approval for third party liability insurance (TPLI) arrangements has been in place since 1 March 2007.

This variation letter replaces the previous one issued on 7 December 2017, and confirms that the general approval applies to your TPLI obligations with the following variations:

No.	Section in ORR's general approval	Variation
1	3b - where an aggregate limit of indemnity applies, this limit will be reinstated at least once if the limit is exhausted.	An automatic reinstatement is not required.
2	3e - cover is on a 'costs exclusive' basis.	Cover is on a 'costs inclusive' basis.

This variation applies while three conditions are met:

No.	Condition
1	You will notify ORR if your limit of indemnity up to £155m has been eroded.
2	In the event of a claim exceeding the limit under the UK policy of €200m, the excess amounts would be claimable under the global master programme, details of which have been supplied to ORR.
3	Alstom only conducts train operations for which a Railways Act light maintenance depot licence is required, including shunting activities. It ceases to apply if Alstom carries out any licensable activity for which a Railways Act passenger or non-passenger licence or European passenger or freight licence is required.



We may at any time modify or revoke this variation after consulting you if it appears to be requisite or expedient to do so, having regard to the duties imposed on us by section 4 of the Railways Act.

While you meet these conditions, you may rely on the general approval with the variations set out above. This means that you only need to provide us with a broker's confirmation that cover is in place in accordance with the general approval, as varied by this letter, when you renew your cover. We ask that a letter is sent once a year in any event, even if no changes have been made. Please keep appropriate records and let us know if there are any material changes to your insurance liability programme.

A copy of this letter will be placed on ORR's public register. Please forward a copy of this letter to your insurance brokers.

Yours sincerely

A handwritten signature in blue ink that reads 'Les Waters'.

Les Waters